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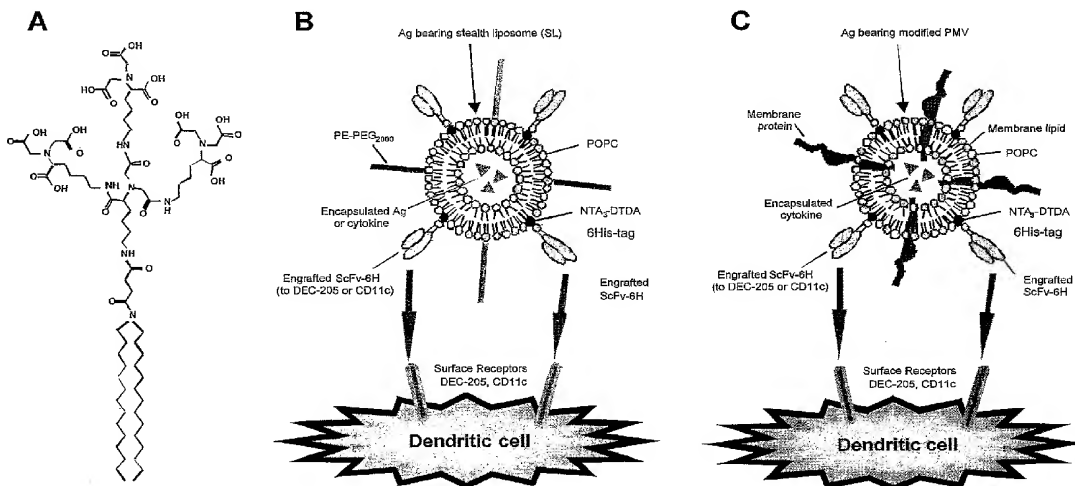
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(54) Title: *IN VIVO* TARGETING OF DENDRITIC CELLS



(57) Abstract: The invention provides a composition for modulating immunity by the *in vivo* targeting of an antigen to dendritic cells. The composition comprises: a preparation of antigen-containing membrane vesicles or antigen-containing liposomes which have on their surfaces a plurality of metal chelating groups; and, a ligand for a receptor on the dendritic cells, the ligand being linked to a metal chelating group via a metal affinity tag on the ligand. The composition further includes an immunomodulatory factor. A process for preparing the composition is also provided. The invention further provides a method of modulating an immune disorder, and methods of treating tumours and infections.

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**IN VIVO TARGETING OF DENDRITIC CELLS****TECHNICAL FIELD**

The invention described herein relates generally to the composition of preparations  
5 for the targeting of membrane-associated antigen (Ag) to dendritic cells (DCs) in order to  
modulate immune responses, either for disease prevention or for therapeutic purposes. More  
particularly, the invention relates to a method of modifying Ag-containing membranes, to  
enable engraftment and/or incorporation of targeting molecules and immunomodulatory  
factors, allowing the modified membranes to be targeted to DCs *in vivo* and potentially induce,  
10 or suppress, immune responses. Even more particularly, the invention relates to a  
composition that can be used to modify Ag-containing membrane structures, such as  
liposomes or plasma membrane vesicles (PMVs), to enable the membranes to be targeted  
effectively to DCs *in vivo*, thereby modulating immunity, and enabling them to be used  
either as vaccines or vaccine-like agents in immunotherapies to prevent or treat disease in  
15 humans and animals.

**BACKGROUND ART**

Dendritic cells (DCs) are a rare population of antigen presenting cells (APCs)  
uniquely capable of stimulating primary immune responses, and a strong interest has  
20 developed in their use in cancer immunotherapies.<sup>1</sup> Attempts to harness the capacity of DCs  
to stimulate potent immune responses have hitherto focused primarily on procedures  
involving the manipulation of DCs *ex vivo*. This approach often requires that DCs be  
isolated from a patient, expanded in numbers, loaded with antigen (Ag) (ref's 2-5), and then  
be re-introduced into the patient. While this procedure is simple in principle, there are  
25 difficulties associated with isolation and culture of such a rare cell population.<sup>6,7</sup> Clearly,  
strategies that deliver Ags directly to DCs *in vivo*, and that can elicit an appropriate immune  
response, have enormous clinical potential.

DCs originate from progenitors in the bone marrow and migrate as immature cells to  
peripheral tissues where they internalise Ag and undergo a complex maturation process. Ag  
30 is internalised via a number of surface receptors, including the complement receptors (e.g.,  
CD11c/CD18) and the endocytic receptors (e.g., DEC-205, DC-SIGN and Toll-like  
receptors). During Ag acquisition, immature DCs also may receive "danger signals", in the  
form of pathogen-related molecules such as bacterial cell wall lipopolysaccharide (LPS), or  
inflammatory stimuli via cytokines such as IFN- $\gamma$ . DCs then migrate to the secondary

lymphoid organs, maturing to become competent APCs.<sup>8</sup> Receptors such as CD11c/CD18, DEC-205, DC-SIGN and Toll-like receptors play a crucial role in the process of Ag capture and presentation, and are expressed primarily on DCs. It is conceivable, therefore, that these receptors also could be used for targeting Ag directly to DC *in vivo*. Consistent with this notion, fusion proteins composed of Ag and single chain antibodies (ScFvs) to DEC-205 have been shown to target to DCs *in vivo*, inducing T cell activation when co-administered with inflammatory stimulators such as anti-CD40 antibody.<sup>9,10</sup> In contrast, in the absence of such inflammatory stimulators, antigen targeted to DCs via the ScFv induced T cell unresponsiveness.

Synthetic liposomes have the potential to deliver large quantities of Ags to DCs (Ref. 11), but to date their targeting to specific DC surface molecules has been difficult to achieve in practice.<sup>12,13</sup> Clearly, an effective strategy that combines the Ag carrying capacity of liposomes and the specificity of molecular recognition to target multiple Ags either with or without “danger signals” directly to DCs *in vivo*, would have enormous potential in simplifying DC immunotherapies, particularly for cancer, infections, and autoimmune diseases.

In International Application No. PCT/AU00/00397 (Publication No. WO 00/64471) there is described a method of modifying biological or synthetic membranes or liposomes for the purposes of altering immunity, or for the targeting of drugs and other agents to a specific cell type or tissue when the modified biological or synthetic membranes or liposomes are administered *in vivo*. Modification of the membranes or liposomes is achieved by the incorporation or attachment of metal chelating groups, thereby allowing engraftment of one or more targeting molecules possessing a metal affinity tag. However, the nature of the immune response induced by targeting Ag to DCs is critically dependent on the presence of specific immunomodulatory factors such as cytokines or “danger” signals, and there is no disclosure or suggestion in PCT/AU00/00397 of the membrane modification that is required, or the immunomodulatory factors that are needed, to elicit an appropriate immune response *in vivo*.

## SUMMARY OF THE INVENTION

An object of the invention the subject of this application, is to provide a composition for the *in vivo* targeting to DCs, of Ag-containing liposomes and PMV, by modifying the said membranes through incorporation of an appropriate immunomodulatory factor, or “danger signal”, and the engraftment of a ligand, that can target the modified membranes to

receptors on the surface of DCs, and hence elicit an appropriate immune response. The composition can be used as vaccines or in immunotherapies, either to potentiate immunity for preventing or treating diseases such as various cancers and infections, or to suppress immunity to a specific self Ag in a way that can be used to treat or prevent transplant rejection, or the effects of autoimmune diseases such as type I diabetes, rheumatoid arthritis, systemic lupus erythematosus and multiple sclerosis.

Further objects of the invention are to provide a process for preparing suitable compositions, and methods of treatment utilising the compositions.

According to a first embodiment of the invention, there is provided a composition for modulating immunity by the *in vivo* targeting of an antigen to dendritic cells, the composition comprising:

a preparation of antigen-containing membrane vesicles or antigen-containing liposomes having on the surface thereof a plurality of metal chelating groups; and

a ligand for a receptor on said dendritic cells, said ligand being linked to a said metal chelating group via a metal affinity tag on said ligand; wherein,

said antigen-containing vesicles or liposomes include an immunomodulatory factor.

According to a second embodiment of the invention, there is provided a process for preparing a composition for modulating an immune response by the *in vivo* targeting of an antigen to dendritic cells, the process comprising the steps of:

- i) preparing antigen-containing membrane vesicles or antigen-containing liposomes;
- ii) modifying said antigen-containing membrane vesicles or antigen-containing liposomes by the incorporation of at least one immunomodulatory factor;
- iii) further modifying said antigen-containing membrane vesicles or antigen-containing liposomes by the incorporation of amphiphilic molecules, wherein said amphiphilic molecules include a chelator group which lies on the surface of said antigen-containing membrane vesicles or antigen-containing liposomes when incorporated therein; and
- iv) contacting the product of step (iii) with a ligand for a receptor on said dendritic cells, wherein said ligand includes a metal affinity tag for binding to said chelator group.

According to a third embodiment of the invention, there is provided a method of modulating an immune response in a subject, the method comprising administering to said subject a composition according to the first embodiment.

According to a fourth embodiment of the invention, there is provided a method of preventing or treating a tumour in a subject, the method comprising administering to the

subject a composition according to the first embodiment, wherein said antigen included in said antigen-containing membrane vesicles or antigen-containing liposomes is a tumour antigen.

5 According to a fifth embodiment of the invention, there is provided a method of preventing or treating an infection in a subject, the method comprising administering to the subject a composition according to the first embodiment, wherein said antigen included in said antigen-containing membrane vesicles or antigen-containing liposomes is an antigen from an agent causing the infection.

10 According to a sixth embodiment of the invention, there is provided use of a composition according to the first embodiment in the preparation of a medicament for modulating an immune response in a subject.

According to a seventh embodiment of the invention, there is provided use of a composition according to the first embodiment in the preparation of a medicament for preventing or treating a tumour in a subject.

15 According to an eighth embodiment of the invention, there is provided use of a composition according to the first embodiment in the preparation of a medicament for preventing or treating an infection in a subject.

20 Other embodiments of the invention will become apparent from a reading of the following detailed description of the invention, in which description there will be reference to the accompanying drawings briefly described hereafter.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows the structure of the novel chelator lipid (NTA)<sub>3</sub>-DTDA. Figure 1B is a schematic representation of the (NTA)<sub>3</sub>-DTDA lipid incorporated in antigen (Ag) containing stealth liposomes (SL) composed of palmitoyl-oleoyl-phosphatidylcholine (POPC) and phosphatidyl-ethanolamine-(polyethylene) glycol<sub>2000</sub> (PE-PEG<sub>2000</sub>). Figure 1C is similarly a schematic representation of liposomes of similar composition to those of Figure 1B but without PE-PEG<sub>2000</sub> can be fused with antigen-bearing tumour cell-derived plasma membrane vesicles (PMV). In both instances, SL (B) and modified PMV (C), the lipid tracer PC-BODIPY (not shown) can also be included to facilitate tracking of either the liposomes or the modified PMV. The (NTA)<sub>3</sub>-DTDA permits the engraftment of histidine-tagged ScFv Abs against DEC-205 and CD11c onto the liposome or modified PMV surface, and consequently, the targeting of these to surface markers such as DEC-205 and CD11c on DCs.

Figure 2 shows that PMV and SL engrafted with CD11c-ScFv and DEC-205-ScFv bind to DCs. With regard to Figure 2A, PMV derived from B16-OVA cells were fused with liposomes composed of POPC, (NTA)<sub>3</sub>-DTDA, and PC-BODIPY. The PMV were then engrafted with a control peptide (PMV-L2), CD11c-ScFv (PMV-CD11c), or DEC-205-ScFv (PMV-DEC-205), before being incubated with LTC-DC and cell bound BODIPY-fluorescence quantified by flow cytometry. Figure 2B shows the binding to LTC-DC of similarly-engrafted SL composed of POPC, (NTA)<sub>3</sub>-DTDA, PE-PEG<sub>2000</sub> and PC-BODIPY. Each profile is representative of that obtained from three separate experiments.

Figure 3 shows that ScFv-engrafted PMV target DCs in draining lymph node. Mice were injected in the hind footpad with fluorescein-labelled PMV that had been engrafted with either control protein (PMV-L2), or with ScFv to CD11c (PMV-CD11c) and to DEC-205 (PMV-DEC-205). **A.** After the injection the draining popliteal lymph nodes were removed for staining of isolated lymph node cells with a biotinylated anti-CD11c mAb and PE-streptavidin. Flow cytometry dot plots show double staining depicting PE-fluorescence (panels i, iii and v), and corresponding FITC-fluorescence (panels ii, iv and vi) of lymph node cells, as indicated. **B.** Results of similar experiments in which sections of lymph node were stained with a biotinylated anti-CD11c mAb and streptavidin-Rhodamine to identify DCs with the fluorescence images of corresponding fields depicting Rhodamine-fluorescence (images i, iii and v), and PMV fluorescein fluorescence (images ii, iv and vi).

Figure 4 shows that targeting engrafted PMV and SL to DCs stimulates T cell proliferation. **A.** Syngeneic C57BL6 splenic T cells were incubated with unpulsed DCs, or with DC which had been pulsed with B16-OVA PMV engrafted with L2, CD11c-ScFv, or DEC-205-ScFv (left panel); SL bearing SIINFELK-6H engrafted with L2, CD11c-ScFv or DEC-205-ScFv (middle panel); and OVA-containing SL engrafted with L2, CD11c-ScFv or DEC-205-ScFv (right panel). The cells were cultured for 4 days before assessing [<sup>3</sup>H]-thymidine incorporation; results are cpm ± SEM. **B.** Stimulation of CD4<sup>+</sup> and CD8<sup>+</sup> T cell proliferation. Syngeneic C57BL6 splenic T cells labelled with CFSE were incubated with DCs pulsed with PMV engrafted with DEC-205-ScFv (PMV), SL engrafted with DEC-205-ScFv and SIINFELK-6H (SIINFELK-SL), and OVA-containing SL engrafted with DEC-205-ScFv (OVA-SL). The cells were cultured for 4 days and the relative proportion of proliferating CD4<sup>+</sup> and CD8<sup>+</sup> T cells, based on CFSE dilution, assessed by flow cytometry.

Figure 5 comprises the results of the vaccination of mice with PMV and SL and shows stimulation of CTL activity against tumour cells. **A.** CTL activity of splenocytes stimulated for 4 days with γ-irradiated B16-OVA cells and derived from mice injected i.v.

with PBS alone (PBS), B16-OVA PMV engrafted with L2 peptide (PMV-L2), PMV engrafted with DEC-205-ScFv alone (PMV-DEC-205), or in combination with LPS (PMV-LPS-DEC-205), IFN- $\gamma$  (PMV-IFN- $\gamma$ -DEC-205), or GM-CSF (PMV-GM-CSF-DEC-205). **B.** The CTL activity of splenocytes (25:1 E:T ratio) from mice following immunisation with  
 5 PMV, SIINFEKL-containing SL, and OVA-containing SL, each engrafted with L2, CD11cScFv or DEC-205-ScFv, as indicated. Results for conditions in which LPS, IFN- $\gamma$  and GM-CSF were incorporated with the engrafted PMV and SL, as indicated, also are shown. Asterisks indicate that CTL activity is significantly higher ( $n=6$ . \*,  $P<0.05$ ; \*\*,  $P<0.01$  and \*\*\*,  $P<0.001$ ) than mice immunised with a corresponding Ag preparation  
 10 engrafted with L2 peptide. In panels A and B specific lysis at the indicated E:T ratios, was assessed in a standard  $^{51}\text{Cr}$  release assay. Results are expressed as the percentage specific lysis  $\pm$  SEM.

Figure 6 shows that vaccination with modified PMV and SL elicits tumour immunity. Separate groups of syngeneic C57BL6 mice were immunised (three times at weekly  
 15 intervals) with PMV engrafted with L2, CD11c-ScFv, or DEC-205-ScFv; SL engrafted with SIINFEKL-6H and L2, CD11c-ScFv or DEC-205-ScFv; and OVA-containing SL engrafted with L2, CD11c-ScFv, or DEC-205-ScFv, with each vaccine preparation being injected alone or in combination with LPS or IFN- $\gamma$ , as indicated. Mice were challenged i.v. with B16-OVA cells, and after 16 days the lungs were removed and examined for lung  
 20 metastases. Results show the mean number of tumour foci for each group of mice  $\pm$ SEM. The dotted line refers to the number of tumour metastases in control mice that were injected with PBS.

Figure 7 depicts anti-tumour responses in eotaxin knockout mice. **A.** Syngeneic C57BL6 mice (Eotaxin $^{+/+}$ ) or eotaxin knockout mice (Eotaxin $^{-/-}$ ) on a C57BL6 background,  
 25 were immunised with PBS, or with IFN- $\gamma$ -containing PMV engrafted with either L2 (PMV-L2) or DEC-205-ScFv (PMV-DEC-205), as indicated. Splenocytes were isolated from the mice, and co-cultured with  $\gamma$ -irradiated native B16-OVA cells. Specific lysis at the indicated E:T ratios, was assessed in a standard  $^{51}\text{Cr}$  release assay. Results are expressed as the percentage specific lysis  $\pm$ SEM. **B.** Mice were immunised as above and then challenged i.v.  
 30 with B16-OVA cells, with the lungs being removed and examined after 16 days for tumour metastases. Results show the mean number of tumour foci for each group of mice  $\pm$ SEM.

Figure 8 shows that membrane vesicles of BCG mycobacteria engrafted with CD11c-ScFv and DEC-205-ScFv bind to DCs. Ni-(NTA) $_3$ -DTDA was combined with PMV derived

from BCG mycobacteria and labelled with 6-(fluoresein-5(and-6)-carboxamido)hexanoic acid succinimidyl ester. The PMV were then engrafted with a control peptide (BCG-Lipo+L2), CD11c-ScFv (BCG-Lipo+CD11c), or DEC-205-ScFv (BCG-Lipo+DEC205), before being incubated with JAWS-II DC after which cell bound fluorescence was quantified by flow cytometry. The upper panel shows the results for BCG-Lipo+CD11c while the lower panel comprises the results for BCG-Lipo+DEC205. In each panel, the left-hand trace is for the JAWS-II cells alone, the middle trace is for the BCG-Lipo+L2 control, while the right-hand trace is for BCG-Lipo+CD11c or BCG-Lipo+DEC205.

Figure 9 depicts the results of an Elispot analysis of splenic T cells from C57/BL6 mice that had been vaccinated intravenously with engrafted BCG preparations. The engraftments were: L2 peptide as a control (BCG sonicate+L2); CD11c-ScFv (BCG sonicate+CD11c); or DEC-205-ScFv (BCG sonicate+DEC205). Control mice were vaccinated with the PBS used as a carrier for the preparations.

## DETAILED DESCRIPTION OF THE INVENTION

The following abbreviations are used herein:

Ag	antigen
APC	antigen presenting cell
CTL	cytotoxic T lymphocyte
DC	dendritic cell
IFN- $\gamma$	interferon- $\gamma$
LPS	lipopolysaccharide
(NTA) <sub>3</sub> -DTDA	tri(nitrilotriacetic acid) ditetradecylamine
OVA	ovalbumin
PMV	plasma membrane vesicle
ScFv	single chain antibody fragment
SL	stealth liposome

The term “antigen” is used herein to denote any molecule which can be taken up, internalised and processed by DCs, for presentation to the immune system.

The term “ligand” is used herein to denote any molecule which can specifically bind *in vivo* to markers/receptors on the surface of DCs. The term includes whole antibodies, and antibody fragments such as ScFvs and domain antibodies.

The term “immunomodulatory factor” is used herein to denote any “danger signal”, cytokine or molecule that can modulate the course or outcome of an immune response.



The term "receptor" is used herein to denote a receptor molecule on the surface of a DC, and is the entity on the DC surface with which a liposome- or membrane vesicle-engrafted ligand can interact.

The term "tumour" is used herein to denote benign and malignant solid tumours as well as solid and non-solid cancers.

With regard to the first embodiment defined above, the antigen-containing membrane vesicles are typically PMVs but can be formed from any biological membrane or biological structure. The PMVs are advantageously tumour-derived PMVs. The PMVs can also be lymphocyte-derived PMVs or leucocyte-derived PMVs. The PMVs can be furthermore membranous preparations of bacteria, protozoa, viruses or fungi. With regard to the antigen-containing liposomes, these include stealth liposomes (SLs) which can be produced from different mixtures of lipids. Such vesicles and liposomes can be prepared as described in references 14, 16, 17 and 28, the entire contents of which are incorporated herein by cross-reference.

The Ag of the compositions can be any Ag, or DNA encoding an Ag, against which an immune response is desired. A composition can comprise a plurality of different antigens which may be from the same or a different source. That is, a composition comprising tumour antigens may include antigens from different tumours.

The metal chelating groups on the surface of the vesicles and liposomes exist as headgroups of amphiphilic molecules present within the phospholipids and/or lipids comprising the vesicles and liposomes. The amphiphilic molecule is advantageously nitrilotriacetic acid ditetradecylamine (NTA-DTDA) or nitrilotriacetic acid phosphatidylethanolamine (PE-NTA), but compositions can include any molecule containing any metal binding or chelating moiety that can be incorporated into lipid membranes. Compositions can furthermore comprise mixtures of amphiphilic molecules.

As will be explained in greater detail below, a preferred amphiphilic molecule is (NTA)<sub>3</sub>-DTDA (tri(nitrilotriacetic acid) ditetradecylamine). The related molecule NTA-DTDA, together with other amphiphilic molecules and vesicles and liposomes containing the same, are described in greater detail in International Application No. PCT/AU00/00397 (Publication No. WO 00/64471), the entire content of which is incorporated herein by cross-reference.

The ligand linked to the metal chelating groups on the membrane vesicles and liposomes can be any metal-affinity tagged molecule that can bind specifically to any DC surface marker. A preferred metal-affinity tag is hexahistidine. In examples below,

hexahistidine-tagged forms of ScFv against the DC surface molecules CD11c and DEC-205 (CD205) are used. Other examples include any histidine-tagged ligand such as an antibody or antibody fragment that can bind to DC surface markers such as DC-SIGN (CD209), CD206 and CD207.

5 Compositions can include a plurality of ligands for different markers/receptors on DCs. For example, a composition can comprise as ligands an ScFv against DEC-205 in combination with an ScFv against DC-SIGN.

As indicated above, the metal affinity tag of a ligand is typically a hexahistidine moiety covalently linked at a convenient site on the ligand. For example, the hexahistidine  
10 can be linked to a protein antigen at the N- or C-terminal thereof. Other metal affinity tags include any moiety or amino acid sequence that can chelate metals and that can be covalently attached to a convenient site on the ligand.

The immunomodulatory factors of compositions according to the first embodiment include compounds or molecules that can enhance or modify the response of DCs to  
15 antigens. Such compounds include "danger signals" (e.g., bacterial lipopolysaccharide), cytokines (e.g., interferon- $\gamma$ , interleukin-2, interleukin-4, interleukin-10, interleukin-12 and transforming growth factor- $\beta$ ), as well as chemokine, hormonal and growth factor-like molecules, or DNA encoding such molecules. A composition can include more than one immunomodulatory factor.

20 Concerning the second embodiment of the invention, suitable processes for the preparation of membrane vesicles or liposomes with ligand entrapped thereon are described in the international application (No. PCT/AU00/00397) referred to above.

With regard to step (i) of the second embodiment process, the membrane vesicles are typically PMVs but can be formed from any biological membrane or biological structure.  
25 The liposomes include SLs. The Ag of the membrane vesicles and liposomes can be protein, glycoprotein, peptide or polysaccharide, or DNA encoding an antigen, or combinations thereof, to be delivered to the DCs.

In step (ii) of the second embodiment process, as with the first embodiment composition, the immunomodulatory factor can be a "danger signal" (e.g., a bacterial  
30 lipopolysaccharide), a cytokine (e.g., interferon- $\gamma$ , interleukin-2, interleukin-4, interleukin-10, interleukin-12 and transforming growth factor- $\beta$ ), or DNA encoding such factors.

The immune response modulation of the method according to the third embodiment has application in the prevention or treatment of conditions which include transplant

rejection, or the effects of autoimmune diseases such as type I diabetes, rheumatoid arthritis, systemic lupus erythematosus and multiple sclerosis. In the case of transplant patients, this involves the administration of PMVs from donor leukocytes that are targeted to the DCs of the transplant recipient. The immunomodulatory factor in this instance can be, for example,  
5 a cytokine such as interleukin-10 or transforming growth factor- $\beta$ . However, the immunomodulatory factor can be any molecule that has the ability to generate tolerogenic DCs.

The method of the fourth embodiment can be used in the treatment of any tumour including, but not limited to, melanoma, and cancers of the prostate, bowel, breast and lung.  
10 The method can also be used in the treatment of leukaemia and lymphomas. The method can be used to treat tumours in any mammalian animal but is particularly suited for treating tumours in humans.

The amount of modified Ag-containing membrane vesicles or liposomes to be delivered to a subject and the administration regime can be established by the clinician after  
15 assessment of the subject in the light of the tumour under treatment.

Those of skill in the art will immediately recognise that the method according to the fourth embodiment provides an effective alternative to the *ex vivo* manipulation of DCs for use in cancer immunotherapy.

With regard to the fifth embodiment, the method can be used to prevent or treat any  
20 infection including infections caused by bacteria, mycobacteria, viruses and fungi in order to enhance immunity to the agent responsible for the infection and/or for use in the treatment of an infection. In a similar fashion to the example given above for the prevention of transplant rejection, all that is required to provide an efficacious method is to prepare PMVs or liposomes that include at least one antigen from the infectious agent. That antigen can be,  
25 for example, envelope proteins of viruses (e.g., HIV, hepatitis B and C) or cell wall components of bacteria (e.g., Mycobacteria), fungi (e.g., Candida) and protozoa (e.g., malaria).

Administration of compositions to a subject in accordance with the third to fifth embodiments of the invention can be by any of the methods known to those of skill in the  
30 art. Compositions are typically administered intravenously or subcutaneously.

The subject of the methods of the third to fifth embodiments is typically a mammalian subject. The methods are particular suited for use with a human subject.

Those of skill in the art will appreciate that a medicament according to the sixth to eighth embodiment of the invention will also include at least a carrier for the composition.

The carrier can be any solution with which PMVs and liposomes are compatible. Typical carriers are saline and buffered saline such as PBS.

Medicaments can include further active agents consistent with the intended use of the medicament. For example, a medicament according to the seventh embodiment can include other anti-tumour agents while a medicament according to the eighth embodiment can include other agents with anti-bacterial, anti-protozoan, anti-viral or anti-fungal activity as appropriate for the target infection. Such additional agents will be known to those of skill in the art.

## 10 Prototype Studies

In a prototype study, the inventors have found that the chelator-lipid (NTA)<sub>3</sub>-DTDA can be used to anchor His-tagged ScFv onto either tumour-derived plasma membrane vesicles (PMV) or onto tumour antigen-containing stealth liposomes for the targeting of DCs. Targeting of Ag directly to DCs in this way elicited a strong anti-tumour response.

15 Liposomes have been hailed as having high therapeutic potential, but their use has been hampered by a lack of a simple method for attachment of targeting molecules.<sup>13</sup> The novel chelator-lipid, (NTA)<sub>3</sub>-DTDA (Fig. 1A), when incorporated into either SLs or into tumour cell-derived PMV (B16-OVA), enables the stable engraftment of hexa-histidine-tagged ScFv that target surface molecules on DCs (Fig. 1B and Fig. 1C). PMV and SLs engrafted with ScFv specific for the DC markers CD11c and DEC-205 bind specifically to DC *in vitro* and, based on flow cytometry and confocal microscopy studies, can target associated Ags directly to DCs *in vivo* (Fig's 2 and 3).

Initially, the ability of engrafted PMV and SL to stimulate functional responses in assays of DC-initiated Ag presentation was examined. Our studies show that ScFv-engrafted PMV and Ag-containing SL are significantly more effective than control PMV and SLs at inducing DCs to stimulate T cell proliferation (Fig. 4A). With PMV, proliferation was stimulated in both CD4<sup>+</sup> and CD8<sup>+</sup> T cells. PMV have the potential to stimulate responses mediated by all possible T cell clones reactive to epitopes present in the tumour cell vesicles. Similarly, ScFv-engrafted SL containing the OVA protein may stimulate both OVA-specific CD4<sup>+</sup> and CD8<sup>+</sup> T cells; but SL containing SIINFEKL, the immunodominant CTL epitope in OVA, would be expected to generate only CD8<sup>+</sup> T cell responses. Consistent with this, our data show that DCs targeted by engrafted PMV generate approximately equal proportions of CD4<sup>+</sup> and CD8<sup>+</sup> T cells, whereas DCs targeted by SL containing SIINFEKL, and to a lesser extent those containing OVA, generate predominantly CD8<sup>+</sup> T cells (Fig. 4B).

Evidence suggests that “danger” signals are important in the maturation and migration of DCs after Ag exposure, and can avoid induction of tolerance to the presented Ag.<sup>9,10,18</sup> Notably, “danger” signals were not required in the *in vivo* Ag presentation assays (Fig. 4), presumably since the DCs are “perturbed” or activated during their isolation. LPS and cytokines like GM-CSF and IFN- $\gamma$  are known to influence the ability of DCs to take up Ag and to mature.<sup>8,19-21</sup> For animal studies therefore, we incorporated LPS, IFN- $\gamma$  or GM-CSF, within PMV and SL, thereby providing the means to simultaneously deliver both Ag and a danger signal to DCs.

An examination of the ability of ScFv-engrafted PMV and SL containing Ag to induce DCs to initiate CTL responses revealed that, compared to control cells, T cells from animals immunised with ScFv-engrafted PMV or Ag bearing SL exhibit an increased ability, following *in vitro* restimulation, to lyse B16-OVA target cells *in vitro* (Fig. 5). Importantly, the results show that *in vivo* priming for cytolytic activity is dependent on the presence of “danger” signals, with LPS and IFN- $\gamma$  stimulating the greatest response (Fig. 5). Both the xenogeneic OVA protein, and a hexahistidine-tagged form of SIINFEKL, could be associated with SLs for targeting via the engrafted ScFv. Ag presentation and CTL assays thus demonstrate that targeting ScFv-engrafted PMV and Ag bearing SLs to DCs in this way can be effective in stimulating anti-tumour responses, and highlights the importance of “danger” signals in the induction of immune responses (Fig’s 4 & 5). Moreover, the finding that ScFv-engrafted SL containing SIINFEKL-6H can induce a significant cytotoxic response, demonstrates that the approach using (NTA)<sub>3</sub>-DTDA-containing SLs may be an effective strategy for targeting any His-tagged peptide Ag to DCs *in vivo*.

A finding of paramount importance in this work was our observation that syngeneic animals immunised with CD11c-ScFv- and DEC-205-ScFv-engrafted PMV had a significantly lower number of tumour metastases in the lungs compared to controls, after challenge with the B16-OVA melanoma. Similarly, syngeneic animals immunised with ScFv-engrafted SL containing OVA and either LPS or IFN- $\gamma$  had a lower number of metastases (Fig. 6). The results further show that tumour immunity was completely dependent on the presence of the “danger” signals, LPS and IFN- $\gamma$  (Figs 5 and 6). The immunisation of mice with CD11c-ScFv- and DEC-205-ScFv-engrafted PMV and Ag bearing SL, therefore, target the associated Ag(s) to DCs, which then process and present the Ags to T cells inducing Ag-specific T cell activation, and elicit a strong inhibition in the growth and metastasis of the B16-OVA tumour *in vivo*. A further significant finding was the

fact that, unlike control mice which all developed severe lung metastases, mice that had been vaccinated with DEC-205-ScFv-engrafted PMV containing IFN- $\gamma$  after challenge with B16-OVA tumour cells subsequently did not show any signs of tumour development, indicating that the DC targeting vaccine has therapeutic activity.

5 A particular intriguing aspect of this study is that the apparent generation of CTL activity against the B16-OVA melanoma was not associated with tumour protection. This point is particularly evident with the SIINFEKL-SL vaccine that would be expected to generate only a CD8<sup>+</sup> CTL response against OVA produced by the B16-OVA tumour cells. Despite the vaccine inducing a strong *in vitro* recall CTL response against B16-OVA tumour  
10 cells, no *in vivo* protection against the tumour was afforded by the immunisation. It is known that the B16-OVA melanoma line expresses very low levels of MHC class I, and consequently, is resistant to CTL lysis unless high avidity CTLs are used.<sup>14</sup> The fact that splenocytes from mice immunised with DC targeting preparations of PMV or SL could lyse B16-OVA tumour cells after restimulation with tumour cells *in vitro* implies that high  
15 avidity CTLs can be generated against this tumour cell line. Presumably, such CTLs are either not generated, or are not effective *in vivo*. In fact, previous studies indicate that CD4<sup>+</sup> rather than CD8<sup>+</sup> T cells are effective against B16-OVA metastases, with CD4<sup>+</sup> T cells with a cytokine profile characteristic of T helper 2 (Th2) cells being particularly effective.<sup>14</sup> Furthermore, eotaxin dependent recruitment of eosinophils into the tumours was essential for  
20 tumour regression to be observed.<sup>14</sup> To explore a possible role of CD4<sup>+</sup> T cells-mediated eosinophil recruitment in the anti-tumour effects observed in this study, eotaxin knockout mice were immunised with ScFv-engrafted PMV. Our results show that compared to controls, eotaxin knockout mice exhibit a markedly reduced ability to inhibit the growth and metastasis of the B16-OVA tumour (Fig. 7A). Eotaxin is a potent eosinophil chemokine and  
25 therefore, the findings are consistent with the recruitment of eosinophils into the tumour constituting an important component of the anti-tumour response.

The modified PMV and SL system described herein offers a number of advantages over current strategies using DCs for tumour immunotherapy. Firstly, the system can deliver Ags directly to DCs *in vivo*, thus eliminating the need to isolate DCs from patients and to  
30 manipulate the cells *ex vivo* for use in immunotherapies. Secondly, a targeted or active liposome-mediated delivery of Ag to DC has the potential to deliver more Ag, and/or several different Ags, simultaneously, potentially stimulating a more effective immune response. The same approach could potentially deliver to DCs any Ag or immunostimulatory agent, such as “danger” signals, RNA, DNA, and cytokines, or combinations thereof, which cannot

be easily achieved using Ags fused to DC targeting proteins.<sup>9,10</sup> Thirdly, the approach is versatile and would be convenient to use clinically since potentially any DC targeting protein(s) possessing a histidine tag can be engrafted onto the modified PMV or SL to deliver specific tumour Ags or other agents to enhance tumour immunity in patients.

Having broadly described the invention and particular application thereof in the foregoing prototype studies, specific examples will now be given after detailing the materials and methods used therein. It will be understood by those of skill in the art that these examples are for illustrative purposes only and do not in any way limit the scope of the invention.

## Materials and Methods

### Reagents

[<sup>3</sup>H]-Thymidine and <sup>51</sup>Cr (Na<sup>51</sup>CrO<sub>4</sub>) were obtained from Amersham (Buckinghamshire, United Kingdom). Palmitoyl-oleoyl-phosphatidyl-choline (POPC), OVA (Grade II, purified by FPLC), LPS (from *Escherichia coli* serotype 0111:B4), Isopaque, Ficoll and β-mercaptoethanol were supplied by Sigma-Aldrich (Castle Hill, New South Wales, Australia). Phosphatidylethanolamine-polyethylene glycol-2000 (PE-PEG<sub>2000</sub>) was obtained from Avanti Polar Lipids Inc. (Alabaster). 2-(4,4-difluoro-5-octyl-4-bora-3a,4a-diaza-*s*-indacene-3-pentanoyl)-1-hexadecanoyl-*sn*-glycero 3-phosphocholine (PC-BODIPY) and 5-(and-6)-carboxyfluorescein diacetate, succinimidyl ester, mixed isomers (CFSE) were purchased from Molecular Probes (Eugene, Oregon). The chelator-lipid (NTA)<sub>3</sub>-DTDA, consisting of three nitrilotriacetic acid (NTA) head groups covalently linked to two ditetradecylamine (DTDA) chains was synthesized essentially as described,<sup>27</sup> but with additional steps to covalently couple a NTA group onto each carboxyl group of the NTA-DTDA, to produce (NTA)<sub>3</sub>-DTDA. NiSO<sub>4</sub> was used for all additions of Ni<sup>2+</sup> to buffers.

### Monoclonal antibodies and proteins

Murine CD56 (clone 42.18, rat IgG2a) mAb was from the 6<sup>th</sup> Human NK Cell Workshop and the murine CD3 mAb (clone 145-2C11, Armenian hamster IgG) was purchased from PharMingen (San Diego, California). Recombinant murine IFN-γ and GM-CSF were supplied by PeproTech Inc (Rockey Hill, New Jersey). Recombinant ScFv antibodies N418 (anti-CD11c) and NLDC145 (anti-DEC-205), each with a hexahistidine (6H) tag at the carboxy terminal and denoted CD11c-ScFv and DEC-205-ScFv, respectively,

were produced using the baculovirus protein expression system and purified as described.<sup>16,28</sup> Peptides were synthesised by the Biomolecular Resource Facility, John Curtin School of Medical Research (JCSMR), ANU, Canberra. The L2 peptide (GHHPHGHPH), a sequence of ten amino acids found in the plasma protein histidine-rich glycoprotein, was used routinely to engraft control PMV and SL since it binds to Ni-(NTA)<sub>3</sub>-DTDA with high avidity and can block its non-specific binding to cells. The peptide SIINFEKL-6H, representing the immunodominant CTL epitope of OVA in H-2<sup>b</sup> mice (OVA residues 257-264), with hexahistidine tag attached was used for peptide Ag delivery to DCs.

## 10 Mice and cell lines

Female or male C57BL6 mice (H-2<sup>b</sup>) 6-8 weeks of age were supplied by the Animal Breeding Establishment, (JCSMR, ANU), and C57BL6 eotaxin knockout mice (H-2<sup>b</sup>) (eotaxin<sup>-/-</sup>) were a gift from Dr Paul Foster, Division of Biochemistry and Molecular Biology (JCSMR), and were used to obtain lymphoid cells for *in vitro* assays, and in tumour growth studies *in vivo*. The highly metastatic murine B16-OVA melanoma [C57BL6 (H-2<sup>b</sup>)], an OVA-secreting tumour cell line was cultured at 37°C in an atmosphere of 5% CO<sub>2</sub> in RPMI 1640 medium (Gibco-BRL, Invitrogen, Melbourne, Australia) containing 10% fetal calf serum (FCS, Trace Biosciences, Noble Park, Victoria, Australia) and 0.5 mg/mL Geneticin (Invitrogen). Murine Foetal Skin Dendritic Cells (FSDC) [C57BL6-DBA/2J F1 (H-2<sup>b/d</sup>)] were cultured in the same medium but without Geneticin. Murine Long Term Culture Dendritic Cells (LTC-DC) [B10.A(2R) (H-2<sup>k/b</sup>)], isolated and cultured as described,<sup>29</sup> were a gift from Dr H. O'Neill (School of Biochemistry and Molecular Biology, ANU).

## Isolation of dendritic cells and T cells

Murine DC and T cells were isolated from the spleens of C57BL/6 mice. Briefly, splenocytes were isolated by digestion with Collagenase IV (Boehringer Mannheim), followed by isolation of low density splenocytes by density gradient centrifugation using an Isopaque-Ficoll gradient. DCs were isolated by plastic adherence as described<sup>31</sup> and then suspended in complete RPMI 1640 growth medium containing 10% FCS, 5 x 10<sup>-5</sup> M β-mercaptoethanol, 100 IU/ml penicillin, 100 µg/ml neomycin, and 10 mM HEPES. For isolation of T cells, the spleens were dissociated into single cell suspensions, and after removing red cells by hypotonic lysis, the T cells were isolated using a nylon wool column.<sup>32</sup>



**Plasma membrane vesicles and stealth liposomes**

PMV from cultured cells were prepared by sucrose gradient centrifugation,<sup>30</sup> and modified essentially as outlined.<sup>16,17</sup> Liposomes used to modify PMV were prepared as follows: ethanolic solutions of POPC, (NTA)<sub>3</sub>-DTDA, LPS and PC-BODIPY (molar ratio 5 94:2:2:2); or POPC, (NTA)<sub>3</sub>-DTDA and PC-BODIPY (molar ratio 96:2:2), were mixed, dried under a stream of N<sub>2</sub>, then rehydrated in 100 µl PBS containing 60 µM Ni<sup>2+</sup>. Where indicated, as an alternative to LPS, either IFN-γ or GM-CSF (50 ng) was included in the rehydration buffer. Hydrated mixtures were sonicated (three times, 15 sec bursts) using a TOSCO 100W ultrasonic disintegrator (Measuring and Scientific Ltd., London, UK) at 10 maximum amplitude. Liposomes (100 µl) were mixed with 100 µl of B16-OVA cell-derived PMV (1 × 10<sup>8</sup> cell equivalents), before adding 15% PEG<sub>400</sub> and diluting 10 times with PBS. The (NTA)<sub>3</sub>-DTDA- and cytokine-containing PMV were purified by size-exclusion chromatography,<sup>17</sup> before engrafting with the appropriate ScFv.

Stealth Liposomes (SL) were prepared as follows: POPC, (NTA)<sub>3</sub>-DTDA, PE- 15 PEG<sub>2000</sub>, LPS and PC-BODIPY (molar ratio 96:1:1:1:1); or POPC, (NTA)<sub>3</sub>-DTDA, PE-PEG<sub>2000</sub> and PC-BODIPY (molar ratio 97:1:1:1) dissolved in ethanol were dried under a stream of N<sub>2</sub>, then rehydrated in 100 µl PBS containing 30 µM Ni<sup>2+</sup> (total lipid 1 mM). For mixtures lacking LPS, IFN-γ or GM-CSF (50 ng) was included in the PBS. Lipid mixtures were sonicated and SL purified (as above). For functional studies the PC-BODIPY was 20 omitted from all lipid mixtures.

Encapsulation of the immunodominant epitope of the OVA protein, SIINFEKL, into SL was attempted but proved difficult since this peptide has low solubility at the pH used to produce the SL and to engraft histidine-tagged ScFv (pH 7.4). However, a hexahistidine-tagged form of the peptide, SIINFEKL-6H, permitted efficient encapsulation and/or 25 engraftment of the peptide onto (NTA)<sub>3</sub>-DTDA-containing SL. Binding studies using FACS analysis showed that CD11c-ScFv- or DEC-205-ScFv-engrafted SL containing SIINFEKL-6H could effectively target receptors on DCs *in vitro* (not shown). Thus, where indicated, SIINFEKL-6H (2 µM) was included to simultaneously engraft with ScFv. The efficient encapsulation of OVA into SL containing POPC, (NTA)<sub>3</sub>-DTDA and PE-PEG<sub>2000</sub>, was 30 achieved by rehydrating the desiccated lipid mixture in PBS containing 0.1 mg OVA (1 mg/ml), followed by brief sonication. The (NTA)<sub>3</sub>-DTDA-containing PMV and SL were engrafted by incubating with the appropriate ScFv (200 µg/ml) for 1 hr at room temperature. The binding of engrafted PMV and SL to DCs was assessed by flow cytometry as previously described.<sup>17</sup>

**Targeting of DC *in vivo***

In order to obtain highly fluorescent PMV for tracking studies PMV were reacted with fluorescein-isothiocyanate (FITC, Molecular Probes), engrafted with L2 or ScFv, and then injected into the hind footpad of mice. After 16 hrs the draining popliteal lymph node of each animal was harvested and used either for isolation of lymph node cells for two colour flow cytometric analysis after staining with biotinylated CD11c mAb and streptavidin-phycoerythrin (streptavidin-PE), or for confocal fluorescence imaging. For imaging, lymph nodes were fixed in 10% formalin, then embedded in paraffin, and cut into sections; the sections were then adhered onto slides and de-waxed. Slides were blocked by incubation with PBS plus 20% goat serum (PBS-goat serum) for 30 min at room temperature, before incubating with mAb N418 to CD11c in PBS-goat serum for 1 hr at room temperature. The slides were then washed extensively in water and stained with streptavidin-Rhodamine in PBS-goat serum. After further washing, the slides were analysed for fluorescein and Rhodamine fluorescence using a Radiance 2000 fluorescence confocal microscope (Bio-Rad, Richmond, California). Images were acquired by Kalman averaging of 30 successive laser scans, and processed using Bio-Rad Image software.

**Antigen presentation assays**

DCs were incubated with modified PMV or SL at 37 °C in complete medium for 4 hrs, and then washed to remove unbound PMV or SL,  $\gamma$ -irradiated (5000 rad), and aliquoted in growth medium ( $2 \times 10^4$  cells/200 $\mu$ l/well) into a 96-well flat-bottom plate. Syngeneic T cells were added ( $2 \times 10^4$ /well) and the cells co-cultured for 4 days, before assessing proliferation by measuring incorporation of [ $^3$ H]-thymidine.<sup>14</sup> The proportion of proliferating CD4<sup>+</sup> and CD8<sup>+</sup> T cells in Ag presentation assays was assessed by labelling the T cells with CFSE (5  $\mu$ M) prior to co-culture with DCs as described.<sup>33</sup> After 4 days co-culture cells were washed, stained with anti-mouse CD4 (clone L3T4)-Cy-Chrome (10  $\mu$ g/ml), and anti-mouse CD8 (clone Ly-2)-PE (10  $\mu$ g/ml), and analysed for CFSE-, Cy-Chrome-, and PE-fluorescence by flow cytometry.

**Cytotoxicity assays**

Ag-specific CTL assays were performed similar to those described.<sup>34</sup> Syngeneic C57BL6 mice were immunized intravenously (i.v.) with PBS (control), or ScFv-engrafted B16-OVA cell-derived PMV or SL bearing Ag (as indicated). At day 14 after immunization, spleens were removed and T lymphocytes (effector T cells) were isolated as above. The T

cells were then suspended in complete growth medium and aliquoted into 24-well flat-bottom plates (ICN Biomedicals) at a concentration of  $1 \times 10^5$  cells/well and co-cultured with  $1 \times 10^5$   $\gamma$ -irradiated (5000 rad) B16-OVA cells. After 5 days of co-culture, the cytolytic activity of the T cells was assessed in a standard  $^{51}\text{Cr}$ -release assay, as described.<sup>16</sup>

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### **Immunisation of animals and tumour challenge in vivo**

Mice were immunized by three i.v. tail vein injections given weekly, with PBS (control), or either ScFv-engrafted B16-OVA cell-derived PMV ( $2 \times 10^5$  cell equivalents), or SL ( $\sim 0.16$   $\mu\text{g}$  total lipid) bearing associated Ag ( $\sim 0.2$   $\mu\text{g}$  of OVA or  $\sim 0.8$  ng of SIINFEKL-6H), each suspended in a 200  $\mu\text{l}$  volume of PBS. Two weeks after the last injection, the mice were challenged by the i.v. injection of  $3 \times 10^5$  B16-OVA cells. At day 16 the lungs were removed and the number of tumour foci was counted visually under a dissection microscope. Alternatively, mice were immunised with ScFv-engrafted B16-OVA PMV 3, 6 and 9 days after i.v. injection of  $1.5 \times 10^5$  B16-OVA cells.

15

### **Example 1**

#### **Liposomes can be used to target tumour antigens to DC both *in vitro* and *in vivo***

Two types of liposome preparations were used to target tumour Ags to DCs (see Figure 1 below). The first entailed the use of a crude preparation of tumour cell-derived PMV modified by engraftment of ScFv targeting DC, and the second was a preparation of Ag-containing stealth liposomes also engrafted with DC targeting ScFv. Stealth liposomes (SLs) are synthetic lipid structures which have been sterically stabilised by the inclusion of lipids such as PE-PEG<sub>2000</sub>, and, by virtue of their ability to escape non-specific elimination by the reticulo-endothelial system, can remain in the blood circulation for days following their intravenous administration.<sup>14</sup> The use of the chelator lipid NTA-DTDA to modify tumour cells and tumour cell-derived PMV for engraftment of T cell costimulatory molecules has been described.<sup>15,16</sup> We have recently produced a novel lipid, (NTA)<sub>3</sub>-DTDA (Fig. 1A), which is related to NTA-DTDA, but by achieving a higher local density of NTA headgroups, can permit a more stable anchoring of histidine-tagged proteins onto both PMV and onto SLs (not shown). Thus, liposome attachment, via (NTA)<sub>3</sub>-DTDA, of histidine-tagged ScFv against DC markers such as CD11c and DEC-205 allows effective targeting of the liposomes to DCs (Fig. 1B).

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To determine whether liposomes prepared in this manner can be used to target tumour antigens to DCs, we first explored the ability of this system to target Ag to DCs *in*

*vitro*. In this study we used the highly metastatic melanoma cell line, B16-OVA, as this line secretes low levels of OVA which can be used as a surrogate secreted tumour-specific Ag (Ref. 17), enabling OVA-specific immune responses to be assessed. The B16-OVA tumour line is largely resistant to OVA-specific CTLs unless high avidity CTLs are used.<sup>17</sup> PMV (B16-OVA-derived) could be modified to contain incorporated (NTA)<sub>3</sub>-DTDA by fusion with synthetic liposomes composed of POPC, (NTA)<sub>3</sub>-DTDA, and PC-BODIPY (molar ratio 96:2:2). Also, (NTA)<sub>3</sub>-DTDA-containing SLs were produced from an appropriate mixture of lipids: POPC, PE-PEG<sub>2000</sub>, (NTA)<sub>3</sub>-DTDA, and PC-BODIPY (molar ratio 96:2:1:1). SLs preparations could be made to contain OVA, or the OVA CTL epitope, SIINFEKL. The (NTA)<sub>3</sub>-DTDA-containing PMV and SLs were engrafted with either a control hexahistidine-containing molecule (L2 peptide) or a hexahistidine-tagged ScFv against either CD11c or DEC-205. Since the modified membranes also contain PC-BODIPY as a fluorescent tracer, their targeting to DCs can be assessed by flow cytometry.

Incubation of long term culture DC (LTC-DC) with control-modified PMV (PMV-L2) increased the fluorescence intensity of the cells slightly (~2-fold above background), but their fluorescence after incubation with PMV engrafted with CD11c-ScFv (PMV-CD11c), or with DEC-205-ScFv (PMV-DEC-205), was 4-8-fold greater than control cells (Fig. 2A). LTC-DC incubated with SL engrafted with CD11c-ScFv (SL-CD11c) and DEC-205-ScFv (SL-DEC-205), also exhibited significant increases in binding (3-6-fold) above control cells (SL-L2) (Fig. 2B). Similarly, the incubation of foetal skin DC (FSDC) that express CD11c, with PMV or SLs engrafted with CD11c-ScFv, resulted in a fluorescence increase substantially above that of control cells (not shown). The binding specificity of the engrafted PMV and SLs to DCs could be tested using blocking mAbs. Thus, pre-incubation of DCs with an isotype-matched control mAb did not significantly reduce binding of either CD11c-ScFv- or DEC-205-ScFv-engrafted PMV or SLs to DC, but their pre-incubation with either the anti-CD11c mAb N418 or the anti-DEC-205 mAb NLDC145, inhibited binding of the respective ScFv-engrafted SL or PMV by approx. 90% (not shown). This demonstrates that the binding is specific for the engrafted ScFv.

To establish that ScFv-engrafted PMV could target DCs *in vivo*, we injected mice subcutaneously into the hind footpad with fluorescein-labelled PMV engrafted with ScFv, and then examined cells isolated from the draining popliteal lymph node for fluorescein fluorescence by flow cytometry, or sections of the draining lymph node by confocal scanning laser microscopy, after PE staining each with a CD11c mAb as a DC marker. The results show that the injection of mice with L2-, CD11c-ScFv or DEC-205-ScFv-engrafted

PMV results in a high level of CD11c-specific-fluorescence in a relatively small population (2-2.5%) of lymph node cells, thus identifying these as DCs, both by FACS analysis and fluorescence microscopy (Fig. 3A and B, panels i, iii and v). Importantly, of the CD11c-positive cells, a greater proportion of fluorescein-labelled cells was seen in the lymph node of mice injected with ScFv-engrafted PMV (~1.7%) (Fig 3A and B, panels iv and vi), compared to mice injected with L2-engrafted (control) PMV (0.4%) (Fig. 3A and B, corresponding panels i, and ii). The findings show that ScFv-engrafted PMV can target DCs *in vivo*.

Table 1

Liposome and modified plasma membrane vesicle preparations

Liposome Type	Antigen	Targeting molecule	Abbreviation used
Plasma membrane vesicle (PMV) <sup>a</sup>	B16 melanoma antigens + OVA	Control L2 peptide <sup>c</sup>	PMV-L2
		CD11c-ScFv	PMV-CD11c
		DEC-205-ScFv	PMV-DEC-205
Stealth liposome (SL)	OVA	Control L2 peptide	OVA-SL-L2
		CD11c-ScFv	OVA-SL-CD11c
		DEC-205-ScFv	OVA-SL-DEC-205
Stealth liposome (SL)	SIINFEKL <sup>b</sup> (OVA peptide)	Control L2 peptide	SIINFEKL-SL-L2
		CD11c-ScFv	SIINFEKL-SL-CD11c
		DEC-205-ScFv	SIINFEKL-SL-DEC-205

<sup>a</sup>PMV derived from B16-OVA melanoma cell line.

<sup>b</sup>SIINFEKL immunodominant class I MHC epitope with H-2<sup>b</sup> haplotype.

<sup>c</sup>Control hexahistidine-containing molecule for coupling to liposomes.

## Example 2

### Liposome-mediated targeting of tumour antigens to dendritic cells induces potent tumour-specific immunity both *in vitro* and *in vivo*

To determine whether Ag-bearing PMV and SL targeted to DCs can induce functional Ag presentation to T cells, we initially examined the ability of ScFv-engrafted PMV and SL to stimulate T cell proliferation in an Ag-presentation assay. Splenic DCs isolated from C57BL/6 mice were pulsed separately with B16-OVA-PMV, SL bearing SIINFEKL-6H, or SL bearing OVA, engrafted with either a control histidine-tagged peptide (L2) or with ScFv against CD11c and DEC-205. After the incubation, the cells were co-

cultured with purified syngeneic T cells and then pulsed with [ $^3\text{H}$ ]-thymidine to assess the rate of T cell proliferation. Compared to control cultures, DCs exposed to PMV or SL (SIINFEKL-6H or OVA bearing) engrafted with CD11c-ScFv induced substantially higher levels of T cell proliferation. Even greater rates of proliferation were seen when the T cells were co-cultured with DC exposed to PMV or SL engrafted with a DEC-205 ScFv (Fig. 4A). Ag-bearing PMV and SL engrafted with ScFv, therefore, can effectively deliver Ag to DCs and stimulate T cell proliferation.

Interestingly, studies using CFSE-labelled T cells revealed that the ratio of proliferating  $\text{CD4}^+$  to  $\text{CD8}^+$  T cells was dependent on the Ag used. Thus, co-cultures of T cells with DCs which had been pulsed with DEC-205-engrafted PMV consisted of ~60%  $\text{CD8}^+$  T cells and ~40%  $\text{CD4}^+$  T cells (Fig. 4B). In contrast, co-cultures of T cells and DCs pulsed with DEC-205-engrafted SL bearing the OVA peptide SIINFEKL-6H, contained ~80%  $\text{CD8}^+$  T cells and ~20%  $\text{CD4}^+$  T cells, consistent with SIINFEKL being a  $\text{CD8}^+$  T cell epitope. Notably, T cells cultured with DCs pulsed with DEC-205-engrafted SL encapsulating intact OVA contained fewer proliferating  $\text{CD8}^+$  T cells (~70%) and a significantly higher proportion ~30% of  $\text{CD4}^+$  T cells compared with the SIINFEKL cultures (Fig. 4B), consistent with OVA containing both  $\text{CD4}^+$  and  $\text{CD8}^+$  T cell epitopes. The relative proportions of proliferating  $\text{CD4}^+$  and  $\text{CD8}^+$  T cells in co-cultures with DCs pulsed with CD11c-ScFv targeted Ags revealed a pattern similar to DC pulsed with DEC-205-ScFv targeted Ag (not shown).

Recent studies have demonstrated the importance of danger signals during Ag exposure and DC maturation<sup>9,10</sup> in determining the type of immune response initiated by DCs. Although studies showed that liposomes can target Ag to DCs *in vitro* and induce T cell responses, previous *in vivo* studies suggest that for this approach to succeed *in vivo*, the co-delivery of danger signals to DCs is required. Thus, in order to deliver both Ag and inflammatory stimuli to DCs simultaneously, we produced Ag-bearing modified PMV and SL that contained incorporated LPS, IFN- $\gamma$ , or GM-CSF. We found that up to 1% LPS could be included in the lipid mixture, and that PMV and SL could be made to incorporate the cytokines GM-CSF and IFN- $\gamma$  with high efficiency, without significantly interfering with the ability of ScFv engrafted SL to target DCs *in vitro*, as assessed by binding studies using flow cytometry. Moreover, since GM-CSF induces the proliferation of FSDC in serum-free medium, and IFN- $\gamma$  inhibits their proliferation in complete medium,<sup>17</sup> FSDC proliferation assays were used to monitor cytokine entrapment in the SL with >85% of the GM-CSF and >75% of IFN- $\gamma$  being found to be incorporated (not shown).

To determine whether DC-targeted PMV or Ag-containing SL could generate CTL responses *in vivo*, we immunised C57BL6 mice with preparations that either lacked or contained danger signals such as LPS, IFN- $\gamma$ , or GM-CSF. We then isolated splenic T cells, restimulated the cells *in vitro* with  $\gamma$ -irradiated B16-OVA tumour cells, and assessed their cytolytic activity towards B16-OVA cells in a standard  $^{51}\text{Cr}$ -release assay. Representative lytic curves are shown in Fig. 5A, for animals that were immunised with various PMV preparations engrafted with the DEC-205-ScFv. Little CTL activity was detected when mice were pre-immunised with PMV engrafted with the L2 peptide or with DEC-205-ScFv in the absence of a danger signal (Fig. 5A). Incorporation of either LPS or IFN- $\gamma$  in the DEC-205-ScFv-engrafted PMV, however, resulted in the induction of high levels of cytolytic activity, with 50% specific lysis of target cells still occurring at a 1:1 effector to target ratio (Fig. 5A). In contrast, GM-CSF was a much less effective inducer of CTL activity.

For ease of comparison, the cytolytic activity of the various PMV and SL immunisation conditions are presented at the 25:1 effector to target ratio in Fig. 5B. Maximum CTL activity was observed with splenocytes from mice immunised with PMV or SL (SIINFEKL or OVA bearing) containing IFN- $\gamma$  or LPS as the danger molecule. CD11c-ScFv-engrafted PMV and SL were somewhat less immunogenic, with GM-CSF being generally a less effective danger signal than IFN- $\gamma$  or LPS but, nevertheless, inducing significant CTL activity when associated with PMV, and OVA containing SL. Interestingly, cultures containing T cells from animals injected with ScFv-engrafted PMV or SL lacking an associated “danger” signal, gave near background levels of lysis (Fig. 5A and B).

### Example 3

#### **Liposome-based vaccines that target DC induce protective immunity against tumours**

Mice immunised with the various B16-OVA preparations were examined for their ability to resist an i.v. challenge of B16-OVA tumour cells, with lung metastases being quantified 16 days following tumour cell injection. Compared to control mice, a much lower number of metastases was observed in mice immunised with PMV or OVA-bearing SL engrafted with ScFv and containing either LPS or IFN- $\gamma$  (Fig. 6). If the PMV or OVA-bearing SL were not engrafted with a ScFv and did not contain LPS or IFN- $\gamma$  little protection to tumour cell challenge was detected. In stark contrast, SIINFEKL containing SL were unable to protect mice against tumour challenge (Fig. 6B), despite some of the

vaccine constructs inducing potent CTL activity (Fig. 5). These data are consistent with the B16-OVA melanoma being resistant to clearance by CD8<sup>+</sup> CTLs (Ref. 14).

To explore the effect of vaccination on pre-existing tumours, we injected a group of 6 mice with DEC-205-ScFv-engrafted PMV containing IFN- $\gamma$  at 3 days *after* challenge with 1.5x10<sup>5</sup> B16-OVA tumour cells. Interestingly, vaccinated mice subsequently did not show any signs of tumour development, whereas a group of six control animals had to be euthanised at day 22 due to an increasing tumour burden in the lungs which contained an average of 250 $\pm$ 37 tumour foci each.

The high proportion of proliferating CD4<sup>+</sup> T cells seen in Ag presentation assays (Fig. 4B), raised the question of whether these cells, rather than CD8<sup>+</sup> T cells, play a role in the anti-tumour responses observed. CD4<sup>+</sup> T cells recently have been implicated in the clearance of B16-OVA melanoma lung metastases through a mechanism involving the eosinophil chemokine eotaxin.<sup>14</sup> The possibility that eosinophils are involved in the anti-tumour response induced by targeting Ag to DCs was explored in studies in which we immunised eotaxin knockout mice with PMV-DEC-205-ScFv. The results show that whereas the cytolytic activity of T cells from normal and eotaxin knockout mice are essentially identical (Fig. 7A), eotaxin knockout mice immunised with PMV-DEC-205 exhibit a marked deficiency in their ability to inhibit tumour growth and metastasis (Fig. 7B).

#### Example 4

##### Enhancing immunity to an infectious agent by targeting its associated antigens to dendritic cells

In this example, we demonstrate that the invention can be used to target antigens of an infectious agent to DCs. BCG is a mycobacterium containing many of the antigens also present in the pathogen *Mycobacterium tuberculosis* which is the cause of tuberculosis in humans. In the example to be described here, BCG mycobacteria were used instead of *Mycobacterium tuberculosis*. BCG mycobacteria were grown in culture, heat-killed, and labelled [by reacting with a tracer 6-(fluorescein-5-(and-6)-carboxamido)hexanoic acid succinimidyl ester] to allow tracking, before modifying to permit targeting to DCs. Thus, the heat-killed BCG was mixed with an appropriate amount of Ni-(NTA)<sub>3</sub>-DTDA, and briefly sonicated to permit incorporation of the chelator lipid into the BCG membrane vesicles containing the BCG antigens. Incorporation of the Ni-(NTA)<sub>3</sub>-DTDA into the BCG



membranes then enabled engraftment of ScFv to either CD11c or DEC-205 to allow specific targeting to the CD11c and DEC-205 markers, respectively, on DCs.

The specific targeting is evident from the graphs comprising Figure 8. The fluorescence profiles show that only BCG preparations engrafted with a ScFv targeting murine DCs exhibit binding to the murine DC cell line JAWS-II. There is no binding of the control BCG preparations engrafted with the non-targeting control protein L2. This indicates that the modified PMVs and liposomes of the invention can be used to target antigens associated with BCG to DCs *in vitro*.

Further experiments were conducted to verify that BCG preparations containing engrafted DC-targeting ScFv also enhance the immune response to BCG antigens when used as vaccines in animals. C57/BL6 mice were vaccinated intravenously with the engrafted BCG preparations using essentially the same vaccination regime as in Example 1 above. After 2-4 weeks the mice were sacrificed, their spleens removed for isolation of T cells and to assay for BCG-specific interferon- $\gamma$  production. The results of an Elispot assay of interferon- $\gamma$  production were obtained by culturing the T cells isolated from the spleens of the mice in the presence of heat-killed BCG for a period of three days before assaying the cultures for interferon- $\gamma$ -producing cells. The results of these experiments are presented in Figure 9.

It can be seen from Figure 9 that the spleens from mice vaccinated with BCG preparations that had been engrafted with either of the two ScFv targeting DCs, show a higher number of interferon- $\gamma$ -producing T cells (i.e., Elispots) compared to those vaccinated with BCG preparations that had been engrafted with the control protein L2 (as indicated). Immunomodulatory factors (e.g., interferon- $\gamma$ , IL-4, IL-10) also can be included with the targeted BCG membrane preparations in order to elicit the most appropriate type of immune response. The results thus show that as well as targeting antigens to DCs to enhance tumour immunity (as exemplified above), the modified PMVs and liposomes of the invention can also be used to target antigens from an infectious agent to DCs *in vivo*, to induce or enhance immunity to the agent.

It will be appreciated by one of skill in the art that many changes can be made to the methods and compositions exemplified above without departing from the broad ambit and scope of the invention.

The term "comprise" and variants of the term such as "comprises" or "comprising" are used herein to denote the inclusion of a stated integer or stated integers but not to exclude

any other integer or any other integers, unless in the context or usage an exclusive interpretation of the term is required.

Any reference to publications cited in this specification is not an admission that the disclosures constitute common general knowledge in Australia.

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## CLAIMS

1. A composition for modulating immunity by the *in vivo* targeting of an antigen to dendritic cells, the composition comprising:
  - a preparation of antigen-containing membrane vesicles or antigen-containing liposomes having on the surface thereof a plurality of metal chelating groups; and
  - a ligand for a receptor on said dendritic cells, said ligand being linked to a said metal chelating group via a metal affinity tag on said ligand; wherein, said antigen-containing vesicles or liposomes include an immunomodulatory factor.
2. The composition according to claim 1, wherein said antigen-containing membrane vesicles are selected from the group consisting of tumour-derived plasma membrane vesicles, lymphocyte-derived plasma membrane vesicles, leucocyte-derived plasma membrane vesicles, and membranous preparations of bacteria, protozoa, viruses or fungi.
3. The composition according to claim 1, wherein said antigen-containing liposomes are stealth liposomes.
4. The composition according to claim 1, wherein the antigen of said antigen-containing membrane vesicles or liposomes comprises a plurality of different antigens.
5. The composition according to claim 1, wherein said ligand is selected from the group consisting of an antibody, an antibody fragment and a domain antibody.
6. The composition according to claim 5, wherein said antibody fragment is a single chain antibody fragment.
7. The composition according to claim 1, wherein said metal-affinity tag on said ligand is hexahistidine.
8. The composition according to claim 1, wherein said immunomodulatory factor is selected from the group consisting of a danger signal, a cytokine, a chemokine, an hormonal or growth factor-like molecule, and DNA encoding any of the foregoing molecules.
9. The composition according to claim 8, wherein said danger signal is a bacterial lipopolysaccharide.

10. The composition according to claim 8, wherein said cytokine is selected from the group consisting of interferon- $\gamma$ , interleukin-2, interleukin-4, interleukin-10, interleukin-12 and transforming growth factor- $\beta$ .

11. A process for preparing a composition for modulating an immune response by the *in vivo* targeting of an antigen to dendritic cells, the process comprising the steps of:

- i) preparing antigen-containing membrane vesicles or antigen-containing liposomes;
- ii) modifying said antigen-containing membrane vesicles or antigen-containing liposomes by the incorporation of at least one immunomodulatory factor;
- iii) further modifying said antigen-containing membrane vesicles or antigen-containing liposomes by the incorporation of amphiphilic molecules, wherein said amphiphilic molecules include a chelator group which lies on the surface of said antigen-containing membrane vesicles or antigen-containing liposomes when incorporated therein; and
- iv) contacting the product of step (iii) with a ligand for a receptor on said dendritic cells, wherein said ligand includes a metal affinity tag for binding to said chelator group.

12. The method according to claim 11, wherein said antigen-containing membrane vesicles prepared in step (i) are selected from the group consisting of tumour-derived plasma membrane vesicles, lymphocyte-derived plasma membrane vesicles, leucocyte-derived plasma membrane vesicles, and membranous preparations of bacteria, protozoa, viruses or fungi.

13. The method according to claim 11, wherein said antigen-containing liposomes prepared in step (i) are stealth liposomes.

14. The method according to claim 11, wherein said antigen of said antigen-containing membrane vesicles and antigen-containing liposomes is selected from the group consisting of proteins, glycoproteins, peptides, polysaccharides, and DNA encoding any of the foregoing.

15. The method according to claim 11, wherein the immunomodulatory factor incorporated in step (ii) is selected from the group consisting of a danger signal, a cytokine, a chemokine, an hormonal or growth factor-like molecule, and DNA encoding any of the foregoing molecules.

16. The method according to claim 15, wherein said danger signal is a bacterial lipopolysaccharide.

17. The method according to claim 15, wherein said cytokine is selected from the group consisting of interferon- $\gamma$ , interleukin-2, interleukin-4, interleukin-10, interleukin-12 and transforming growth factor- $\beta$ .

18. The method according to claim 11, wherein said amphiphilic molecule incorporated in step (iii) is selected from the group consisting of nitrilotriacetic acid ditetradecylamine, tri(nitrilotriacetic acid) ditetradecylamine, or nitrilotriacetic acid phosphatidylethanolamine.

19. The method according to claim 11, wherein said ligand contacted with the product of step (iii) is selected from the group consisting of an antibody, an antibody fragment and a domain antibody.

20. The method according to claim 19, wherein said antibody fragment is a single chain antibody fragment.

21. The method according to claim 11, wherein said ligand is for a receptor selected from the group consisting of CD11c, DEC-205 (CD205), DC-SIGN (CD209), CD206 and CD207.

22. The method according to claim 11, wherein said metal-affinity tag on said ligand is hexahistidine.

23. A method of modulating an immune response in a subject, the method comprising administering to said subject a composition according to claim 1.

24. The method according to claim 23, wherein said modulating of an immune response is for the prevention or treatment of transplant rejection or an autoimmune disease.

25. The method according to claim 24, wherein said autoimmune disease is type I diabetes, rheumatoid arthritis, systemic lupus erythematosus or multiple sclerosis.

26. A method of preventing or treating a tumour in a subject, the method comprising administering to the subject a composition according to claim 1, wherein said antigen included in said antigen-containing membrane vesicles or antigen-containing liposomes is a tumour antigen.

27. The method according to claim 26, wherein said tumour is a melanoma, or a cancer of the prostate, bowel, breast or lung.



28. A method of preventing or treating an infection in a subject, the method comprising administering to the subject a composition according to the first embodiment, wherein said antigen included in said antigen-containing membrane vesicles or antigen-containing liposomes is an antigen from an agent causing the infection.

5 29. The method according to claim 28, wherein the causative agent of said infection is a bacterium, a mycobacterium, a viruses, or a fungus.

30. The method according to any one of claims 23 to 29, wherein said subject is a human subject.

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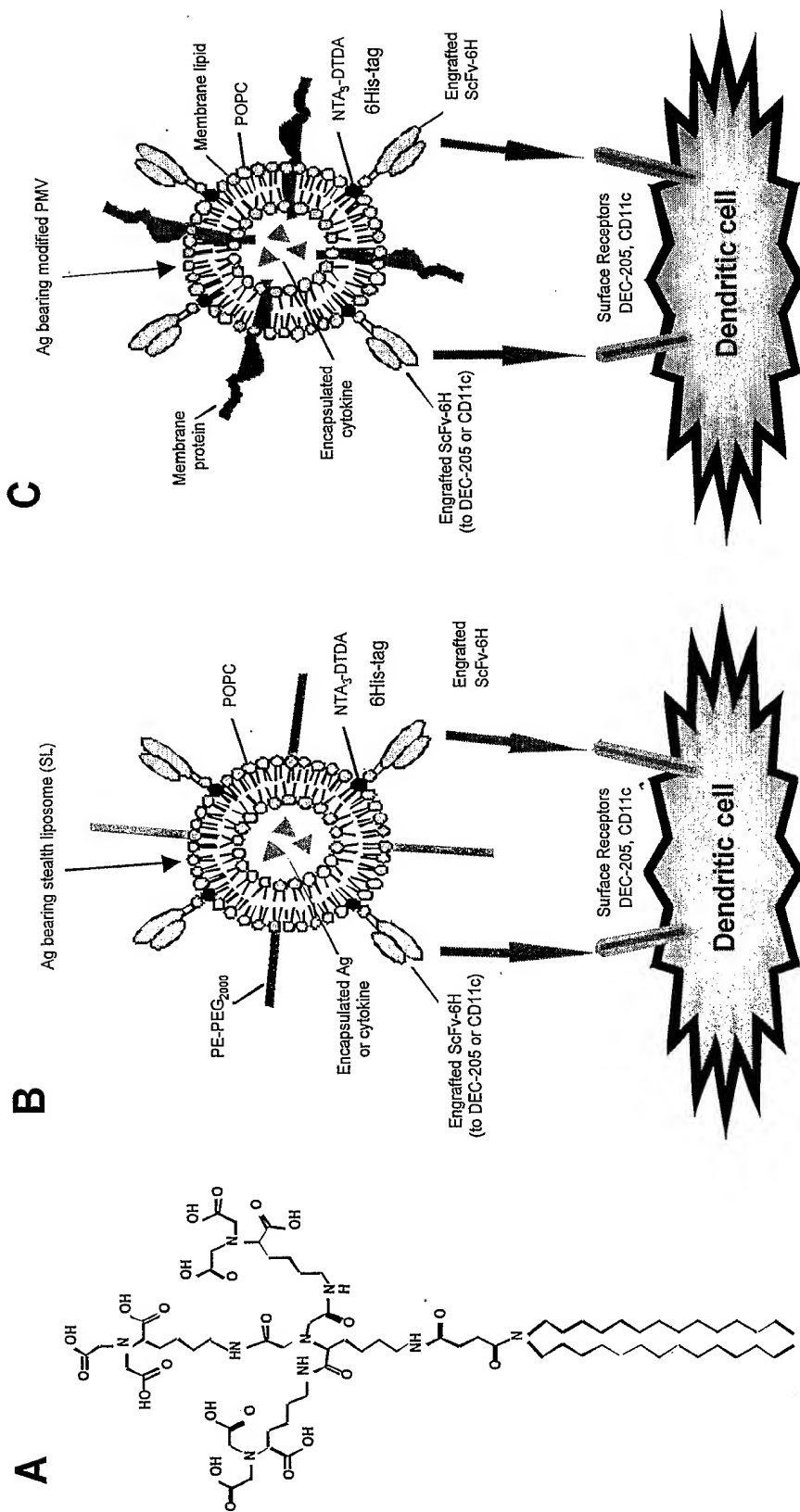
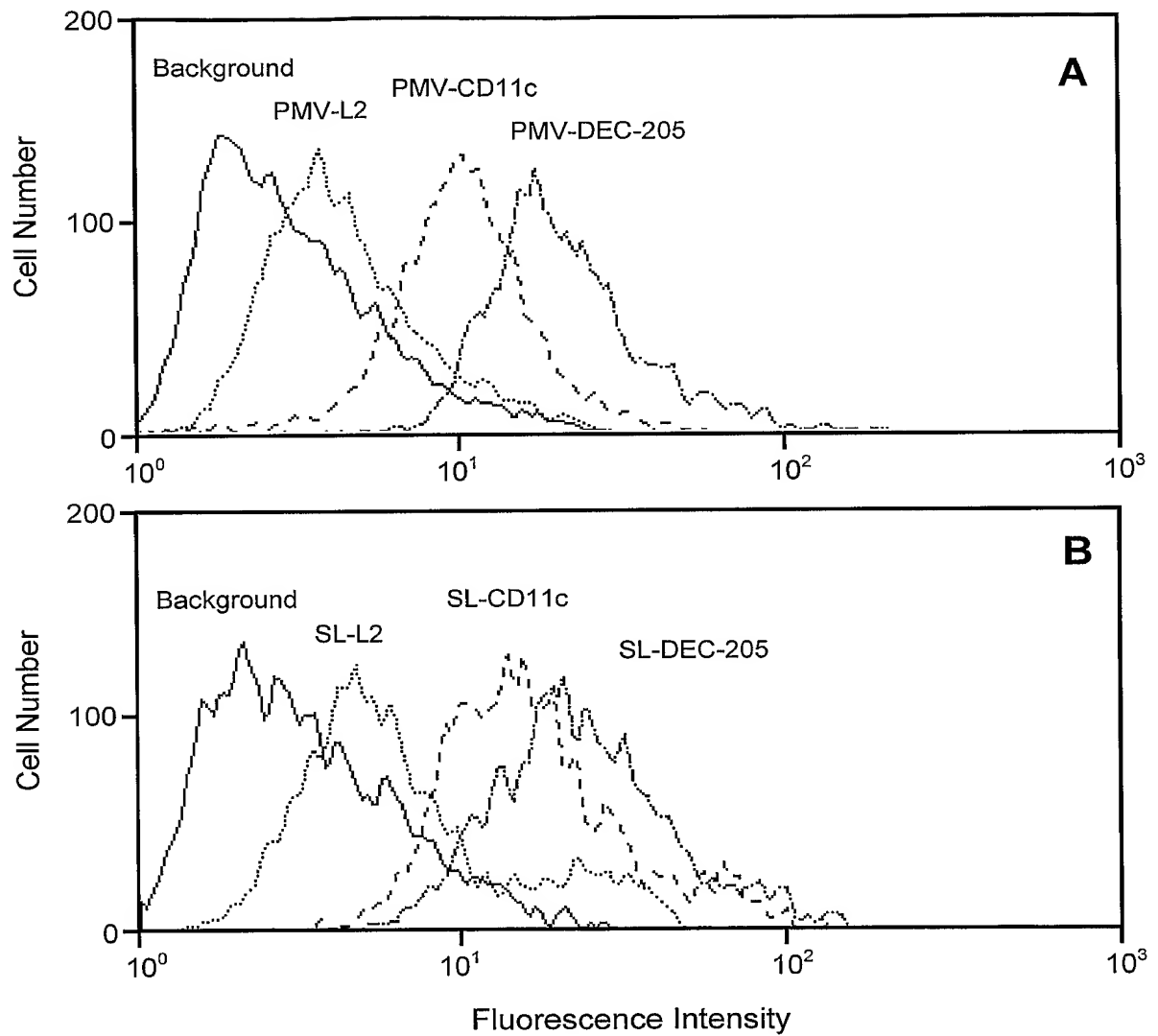


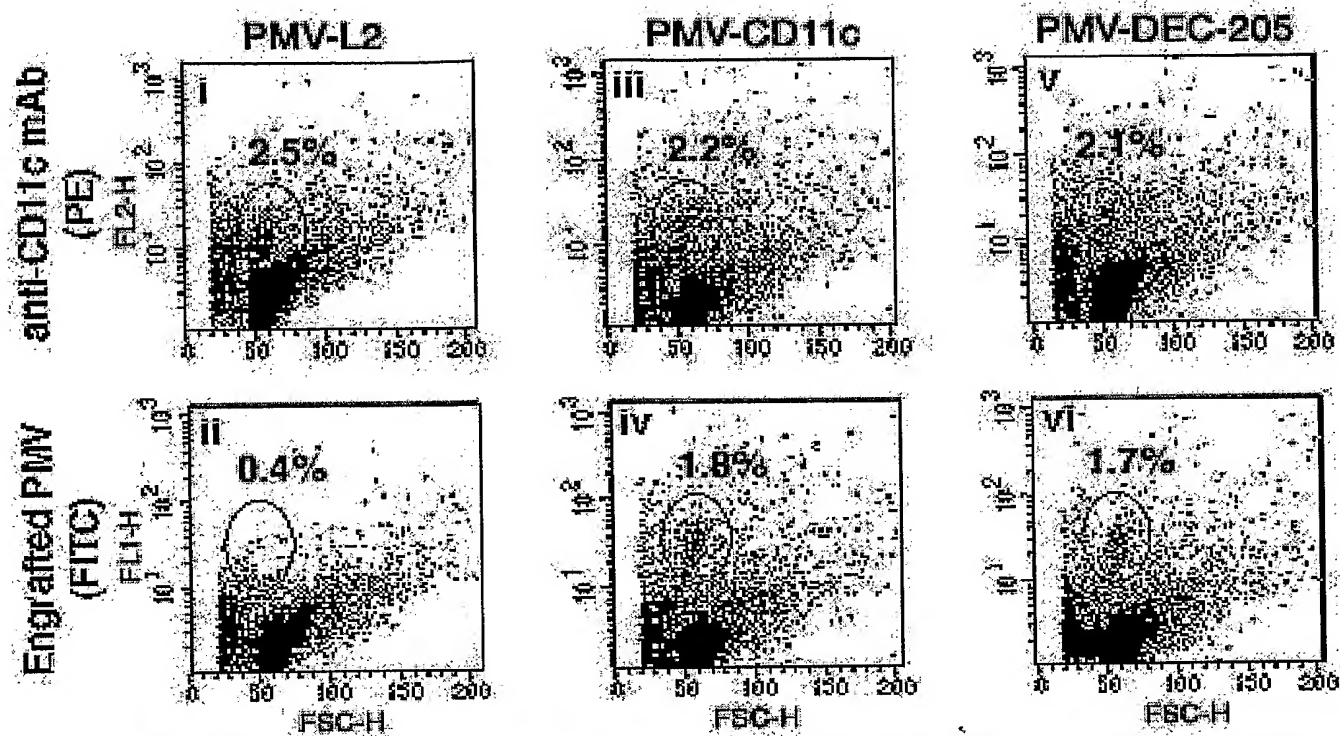
Fig. 1

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*Fig. 2*

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A



B

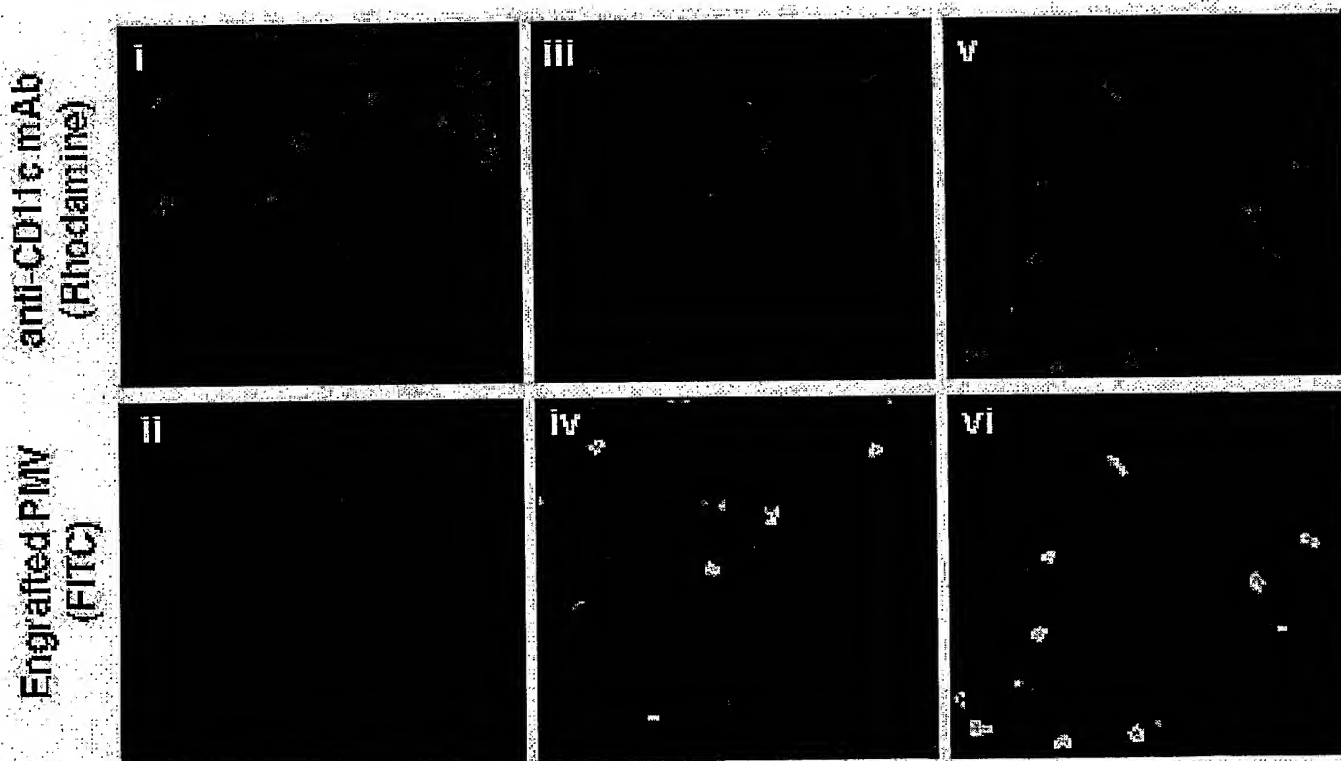
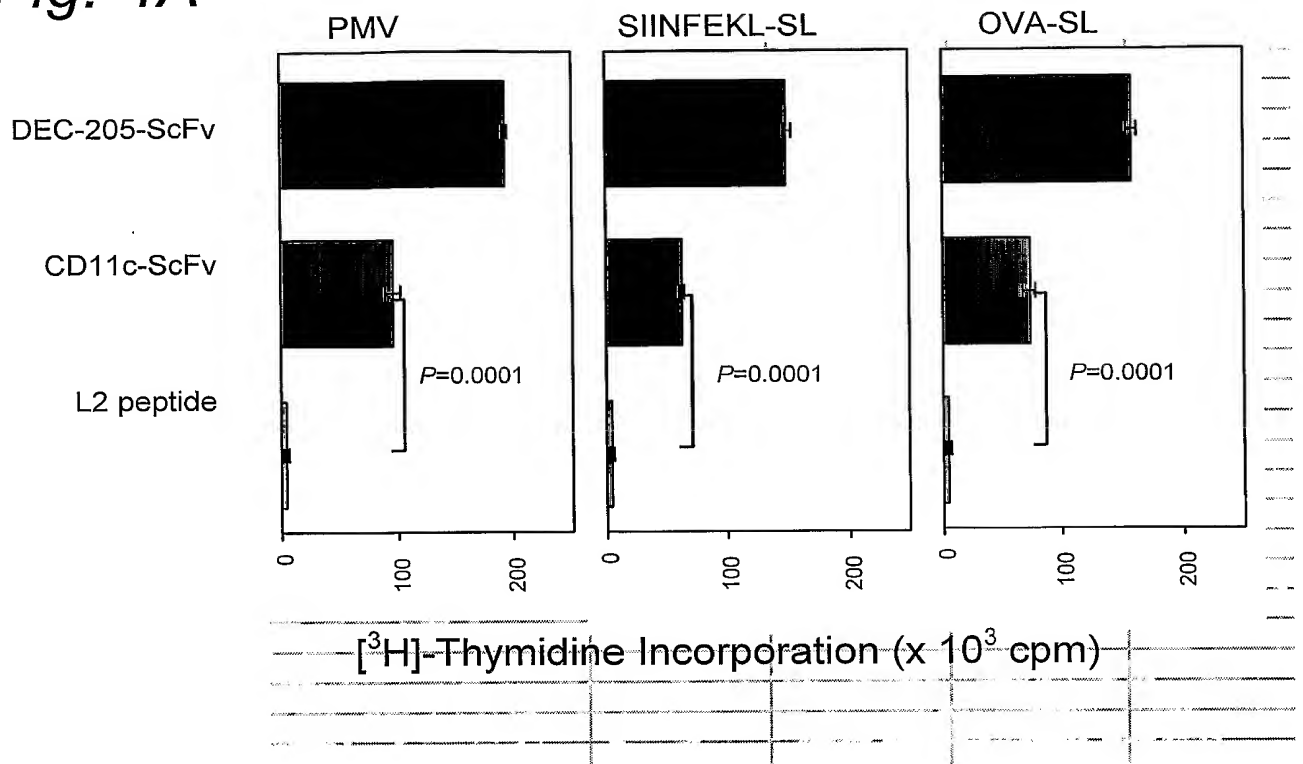
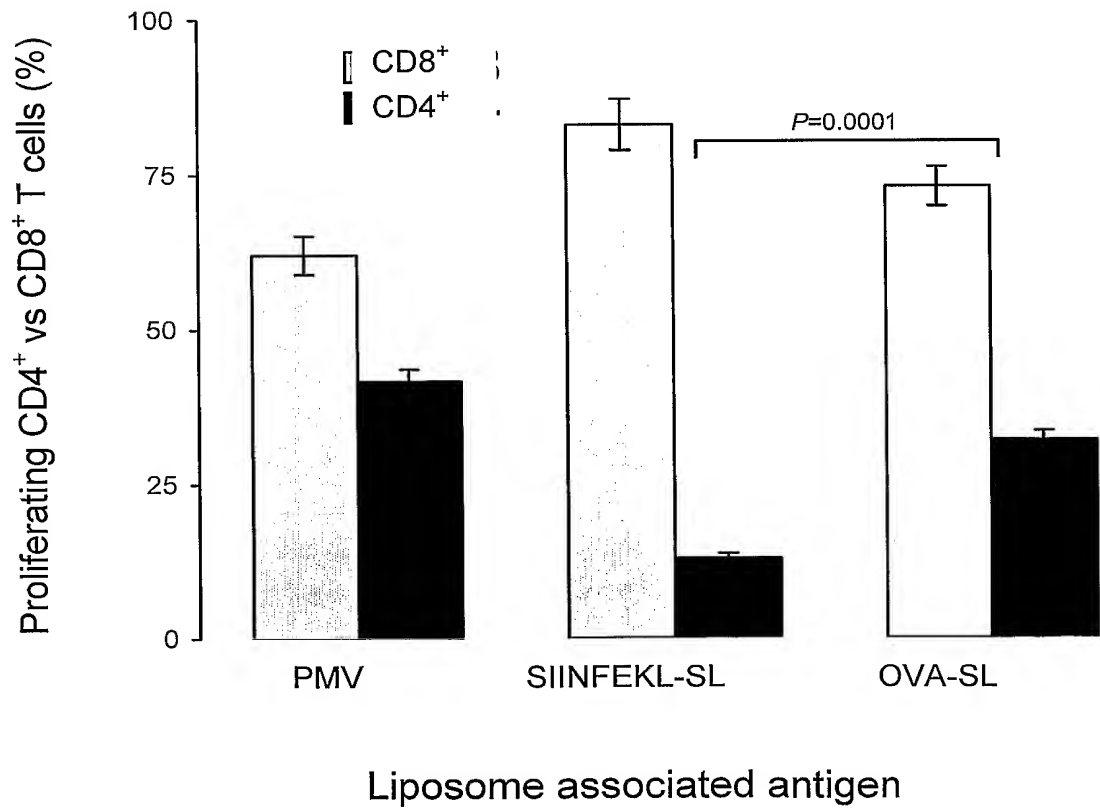


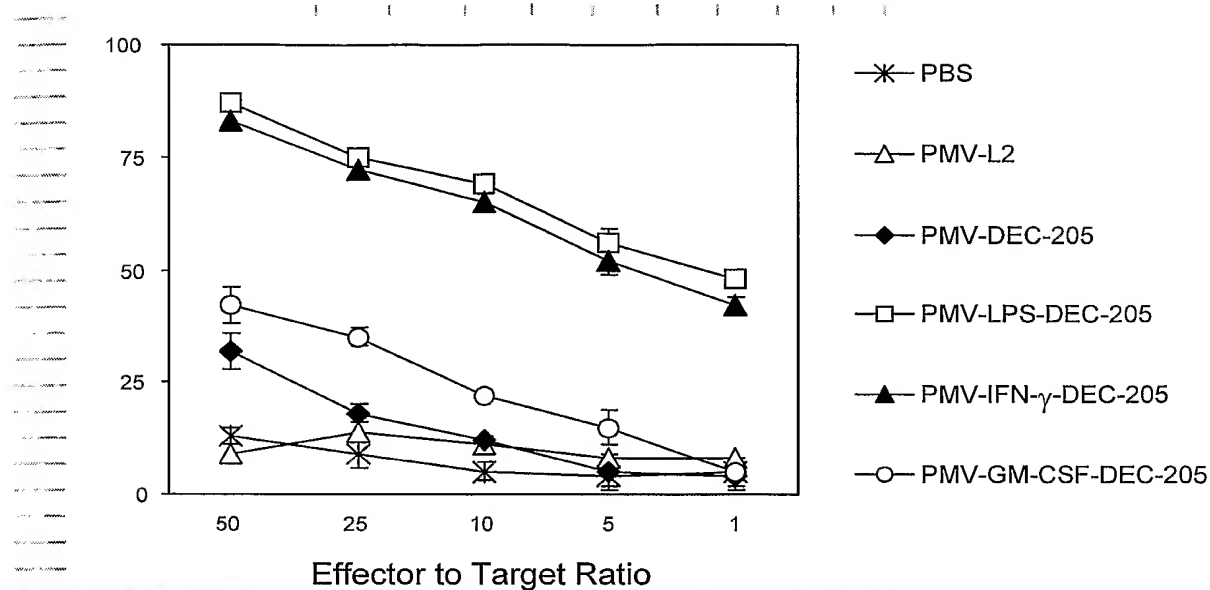
Fig. 3

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*Fig. 4A**Fig. 4B*

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A



B

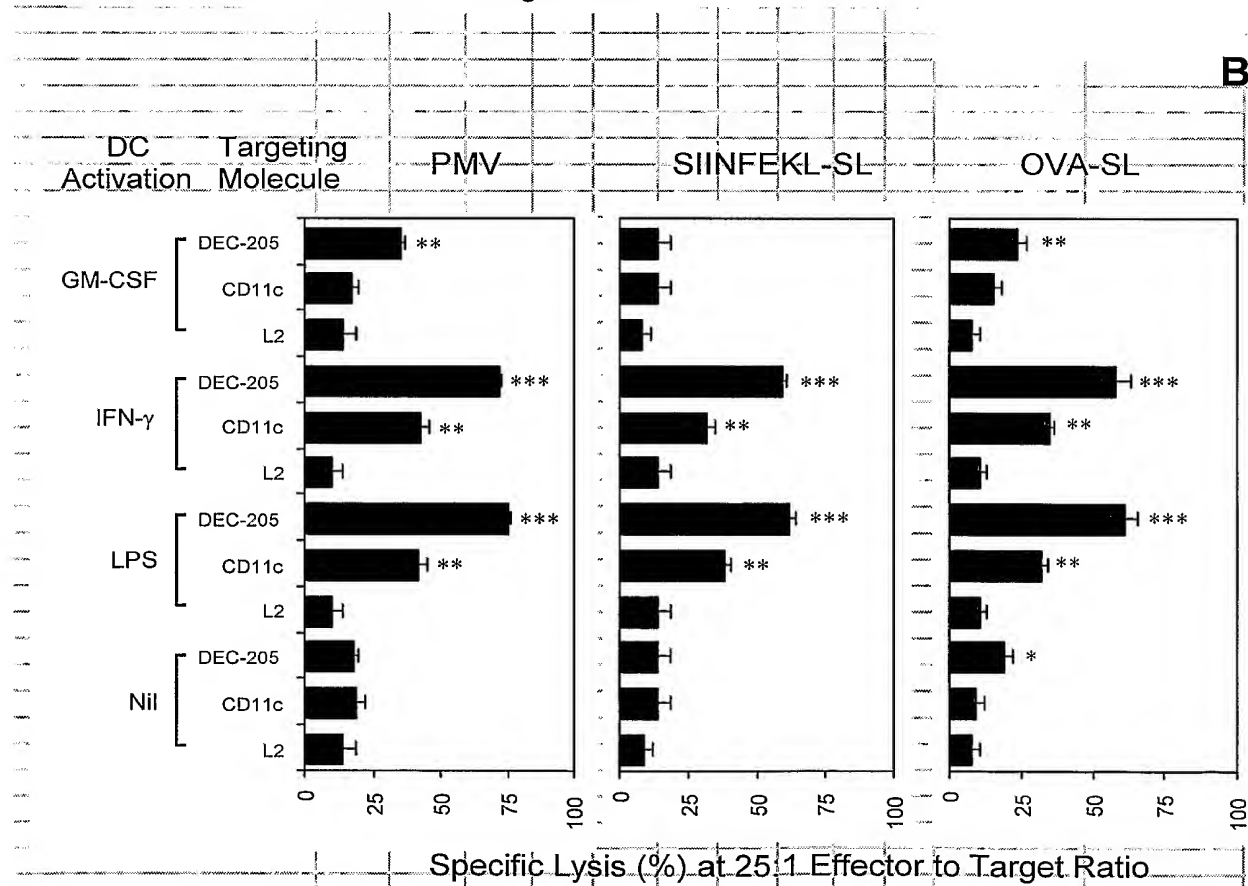
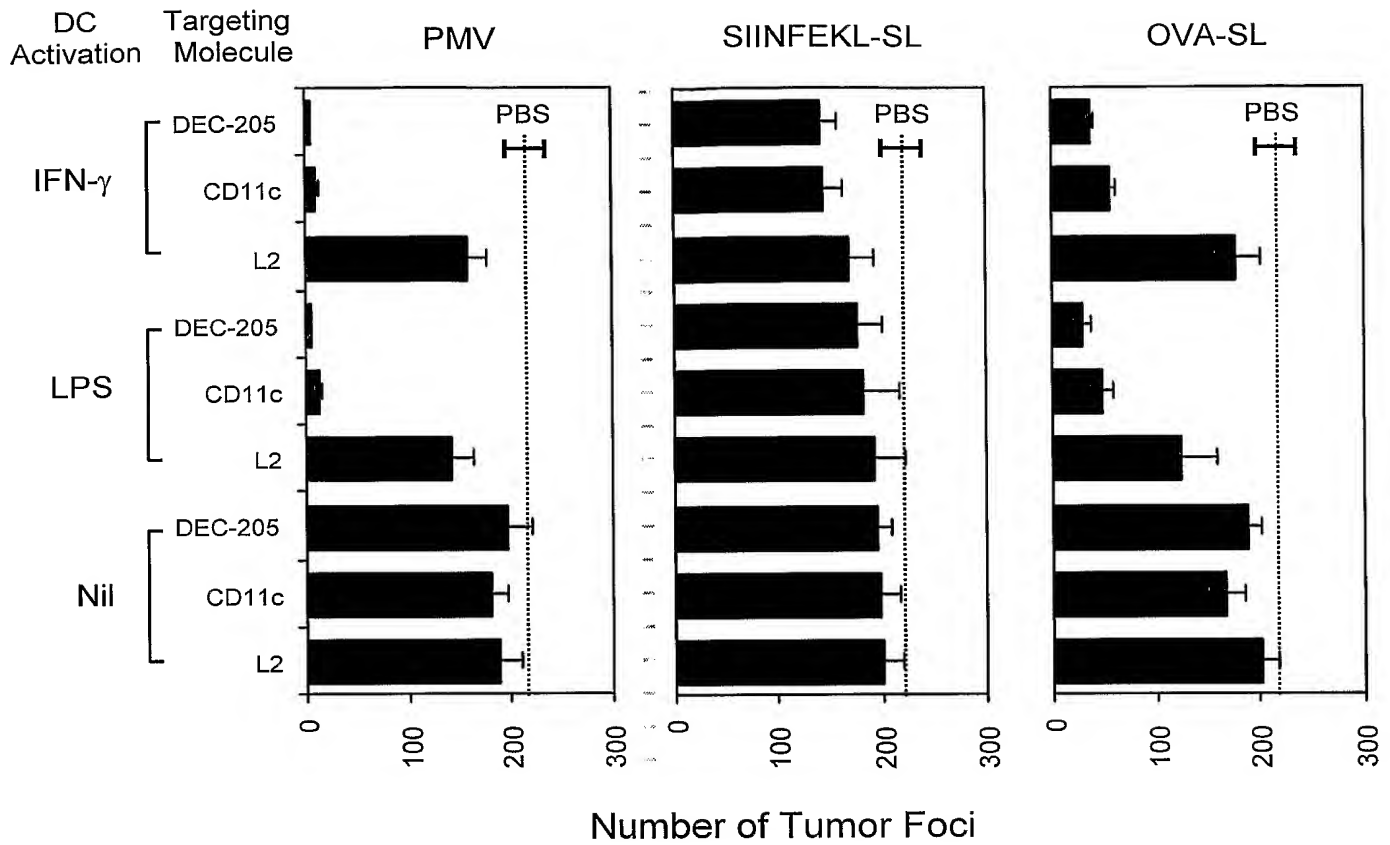


Fig. 5

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*Fig. 6*

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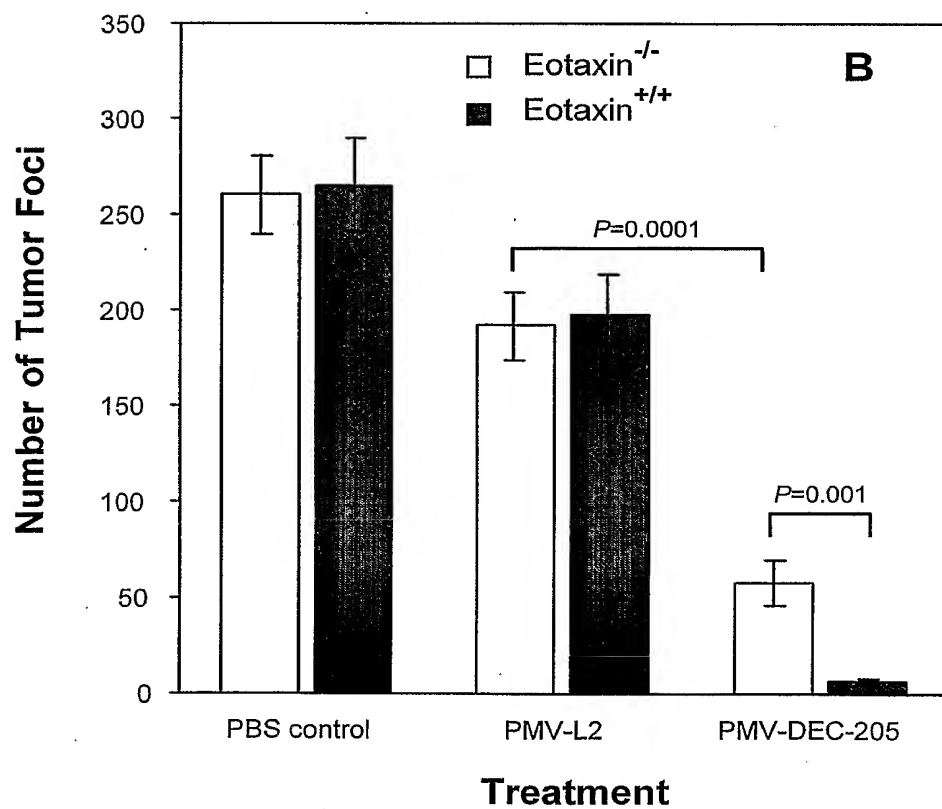
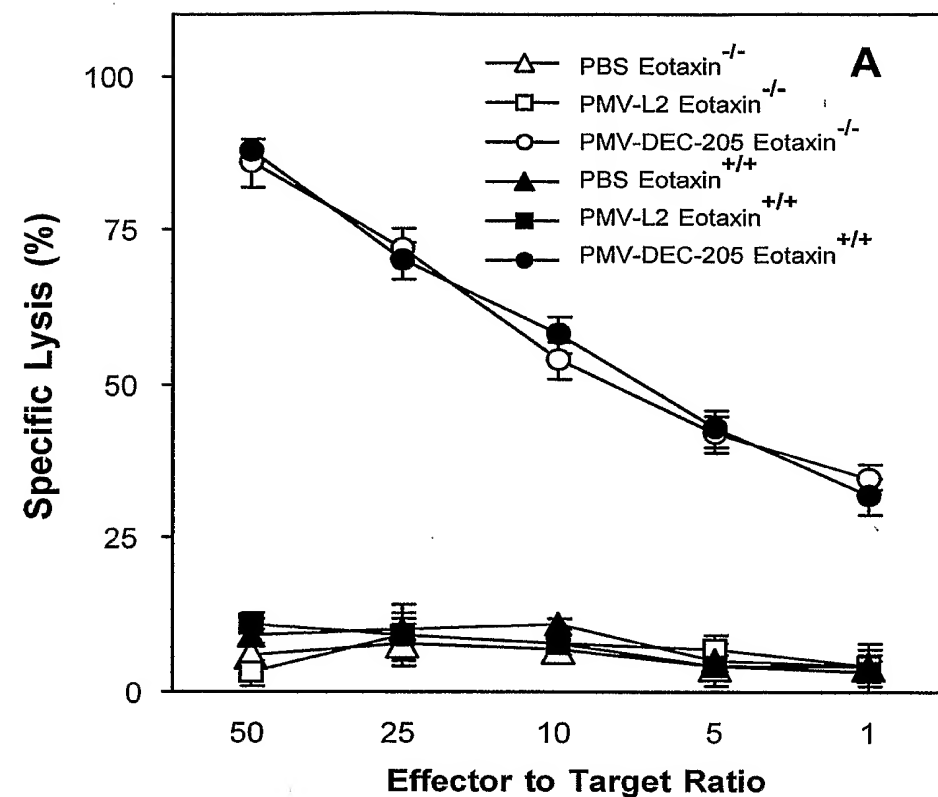
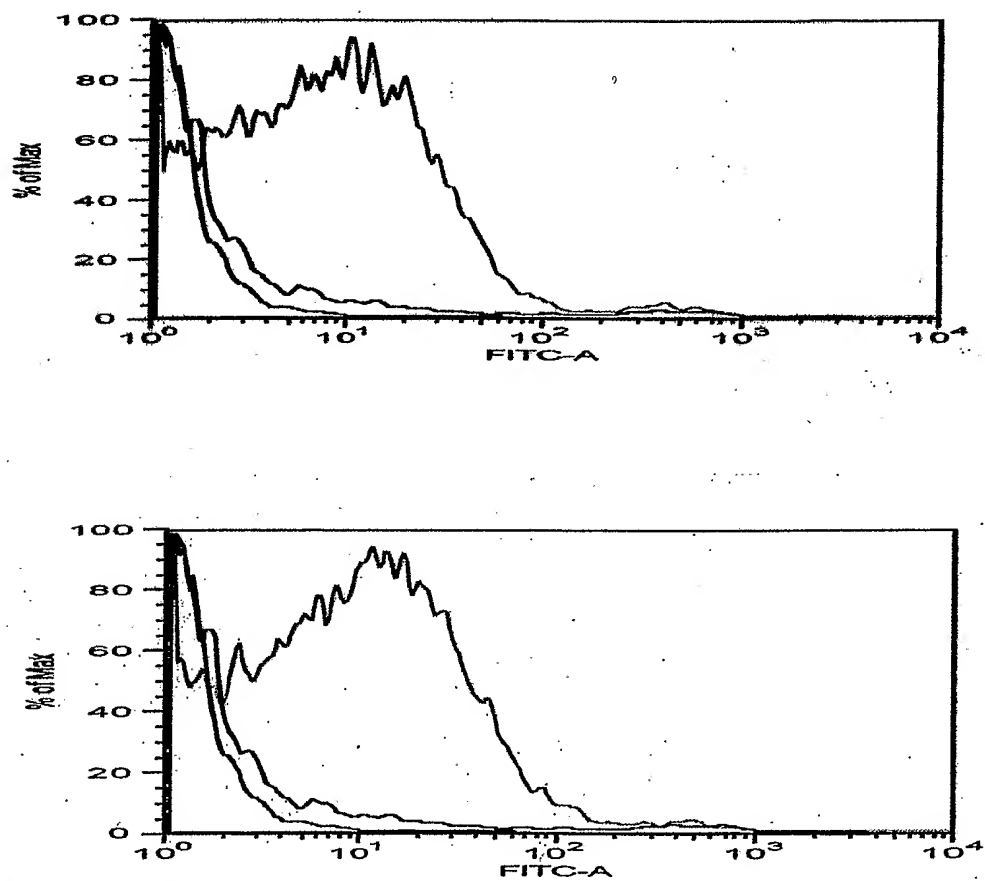


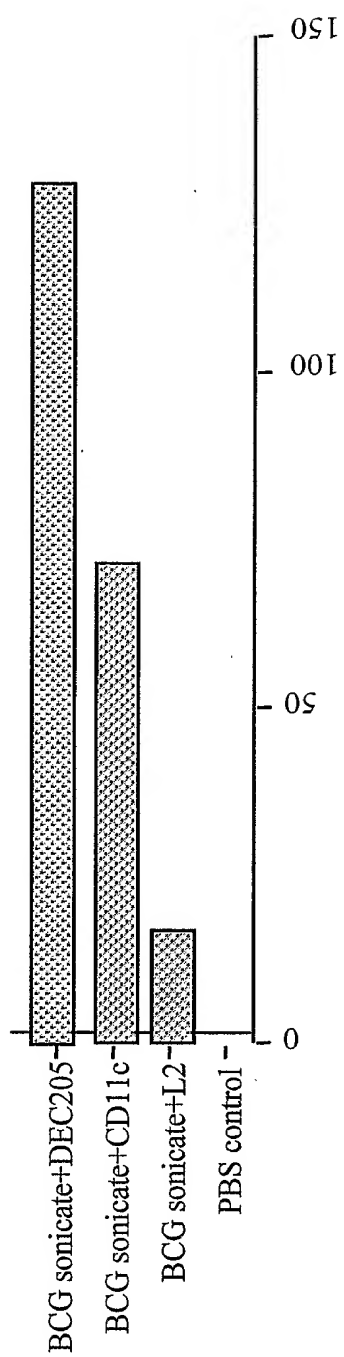
Fig. 7



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*Fig. 8*

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*Fig. 9*

## INTERNATIONAL SEARCH REPORT

International application No.  
**PCT/AU2004/001125**

A. CLASSIFICATION OF SUBJECT MATTER		
Int. Cl. <sup>7</sup> : A61K 009/127, 39/00, 31/4172, A61P 35/00, 37/02		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols)		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) MEDLINE, DWPAT: vesicle, liposomes, membrane, dendritic, tumour, vaccine, CD and similar terms		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	Van Broekhoven, C et al (2002) Int. J. Cancer Vol. 98 pages 63-72 'A Novel Approach for Modifying Tumor cell-derived Plasma Membrane Vesicles to contain Encapsulated IL-2 and Engrafted Costimulatory Molecules for use in Tumor Immunotherapy'	1-30
Y	—see Abstract, pages 65, 66, 69 and Discussion	1-30
Y	Van Broekhoven, C et al (2000) j. Immunol. Vol. 164 (pages 2433-2443) 'Engrafting Costimulator Molecules onto Tumor Cell Surfaces with Chelator Lipids: A Potentially Convenient Approach in Cancer Vaccine Development'	1-30
	—see Abstract, pages 2434, 2437-2439 and Discussion	
Y	WO 2000/064471 A (The Australian National University) 2 November 2000	1-30
	—see pages 14-24, 27-31, claims and Figure 4	
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C <input checked="" type="checkbox"/> See patent family annex		
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"P" document published prior to the international filing date but later than the priority date claimed		
Date of the actual completion of the international search 6 October 2004	Date of mailing of the international search report 18 OCT 2004	
Name and mailing address of the ISA/AU AUSTRALIAN PATENT OFFICE PO BOX 200, WODEN ACT 2606, AUSTRALIA E-mail address: pct@ipaustalia.gov.au Facsimile No. (02) 6285 3929	Authorized officer <i>J. A. Fernance</i> <b>JENNIFER FERNANCE</b> Telephone No : (02) 6283 2269	

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PCT/AU2004/001125

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	Chikh G et al (2002) Biochim. Et Biophys. Acta Vol. 1567 pages 204-212: 'Attaching histidine-tagged peptides and proteins to lipid-based carriers through the use of metal-ion-chelating lipids': see Abstract, 206-208, 210 and Figure 1	1-30
A	Chikha, G et al (2002), Bioscience Reports Vol. 22 No 2 pages 339-353: 'Liposomal Delivery of CTL Epitopes to Dendritic Cells'	

# INTERNATIONAL SEARCH REPORT

Information on patent family members

PCT/AU2004/001125

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report			Patent Family Member				
WO	2000/064471	AU	40934/00	EP	1180042	CN	1356910
		JP	2002541875				
Due to data integration issues this family listing may not include 10 digit Australian applications filed since May 2001.							
END OF ANNEX							